

# TAHITO Ethical Sustainable Fund Application Form

Individual /Joint Investor(s)



# TAHITO

(Please tick appropriate box)

- This is an initial application
- This is a subsequent investment  
(please insert your investor number in the boxes provided)

OFFICE USE ONLY

Date     /     /

CFM	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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INVESTOR NUMBER

## Investor Details

Name

  

What is the nature and purpose of the investment, for example, income generation, capital gain or retirement savings?

  

Source of Funds/Wealth

Please tell us the original source of the funds/wealth you are investing with us.

- |  |   |   |
|--|---|---|
| <input type="checkbox"/> Property sale       | <input type="checkbox"/> Gift/Inheritance | <input type="checkbox"/> Business activity      |
| <input type="checkbox"/> Accumulated savings | <input type="checkbox"/> Personal income  | <input type="checkbox"/> Other (describe below) |

Please provide details including dates and amounts. For example, sale of family home at address for amount on date.

  
  

Note, we may need proof or additional information to support your application.

In the last 12 months, has any individual associated with the investor, or an immediate family member, held a prominent public position in any overseas country? For example, high level judicial or military role, ministerial role, diplomat role or senior executive of a state run/owned enterprise.

- No      Yes (if "yes", please specify below)

  

## Contact Details

Primary Contact Person (for general enquiries)

Daytime Phone

Mobile Phone

Email Address

- If this person is authorised to give instructions, and is not an investor please complete page 26, Authorised Person section.

# Individual Details

Title  First Name  Surname

Date of Birth  /  /  Phone Number  Email Address

Physical Address

Country(ies) of citizenship/nationality, please specify below

IRD Number  Occupation

Portfolio Investor Rate (PIR):  0%  10.5%  17.5%  28%  
(tick one - if none selected 28% will apply)

**Country(ies) of Tax Residency**

I am a tax resident of New Zealand  Yes  No  
I am a tax resident of other country(ies)  Yes  No If yes, more details are required.

For each country, except New Zealand, you will need to provide a Tax ID Number (TIN) or equivalent in the table below. If a TIN is not available for that country, use the appropriate reason A, B or C.

**Reason A** The country where I am a tax resident does not issue a TIN to its residents

**Reason B** I have not been issued a TIN by my country of tax residence  
(please include an explanation as to why a TIN was not issued to you in the table below)

**Reason C** The domestic law of the country where I am a tax resident does not require the collection of a TIN

Country of Tax Residency	Tax ID Number (TIN)	Enter Reason A, B, or C if no TIN is available	If you have selected Reason B please provide an explanation
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

# Individual Details

Title  First Name  Surname

Date of Birth  /  /  Phone Number  Email Address

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IRD Number  Occupation

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<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

# Investment on Behalf of a Minor / Investment for an Individual by a Power of Attorney

Title  First Name  Surname

Date of Birth  /  /  Phone Number  Email Address

Physical Address

Country(ies) of citizenship/nationality, please specify below

**Country(ies) of Tax Residency**

I am a tax resident of New Zealand  Yes  No  
 I am a tax resident of other country(ies)  Yes  No If yes, more details are required.

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<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

## Authorised Person

Complete this section if you want to authorise a person (who is not one of the individuals named above) to give instructions and obtain account information on your behalf.

Title  First Name  Surname

Date of Birth  /  /  Phone Number  Email Address

Relationship to Investor  Occupation

Physical Address

Country(ies) of citizenship/nationality, please specify below

### Country(ies) of Tax Residency

I am a tax resident of New Zealand  Yes  No

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<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

## Investment Details

I wish to invest in the following Fund:

	Investment Amount*
TAHITO Ethical Sustainable Fund	\$ <input type="text"/>
TOTAL	\$ <input type="text"/>

\* Initial investment minimum is \$1,000. Subsequent Investment minimum is \$500.

### Payment

Direct credit (Please deposit direct credit payments in the following BNZ account: 02-0108-0567359-00 in the name of THE NEW ZEALAND GUARDIAN TRUST COMPANY LIMITED ACTING AS SUPERVISOR FOR THE TAHITO TE O REHUA FUND and reference with your Investor number)

## Bank Account

This bank account will be used for distributions and/or withdrawals.

Account Name	Bank
<input type="text"/>	<input type="text"/>

(The bank account must be in the Investor's / Entity name)

Branch	Account Number
<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
	BANK BRANCH ACCOUNT SUFFIX

## The Privacy Act

The personal information you have supplied may be used by the Manager and the Supervisor (and related entities detailed in Section 7) for the purposes of enabling the Manager to arrange and manage your investment, and to contact you in relation to your investment. Your personal information may also be disclosed to, and held and used by third parties that provide services to Clarity.

The manager may also share your information with government agencies if requested. Clarity in turn will take reasonable steps to ensure the third party does not breach the Privacy Principles. You have the right to access all personal information held about you by the Manager and if any of the information is incorrect, you have the right to have it corrected. The Manager will provide you (on request) with the name and address of any entity to which your information has been disclosed.

We collect most personal information directly from you. Sometimes we may (and you authorise us to) collect information about you from publicly available sources of information. You acknowledge that you are authorised to provide personal information on behalf of the applicant and evidence of this authority is provided (in the case of a parent/guardian/other providing information about the applicant).

You agree that your/each of your names and addresses may be used by Clarity to provide you with newsletters and other information about the Fund and other products and services.

## Declaration

I/We declare that the information provided on these documents is true and accurate. I/We have read and retained a copy of the current Product Disclosure Statement and agree to be bound by the terms and conditions of the Trust Deed and relevant Establishment Deeds (as amended from time to time). I/We agree to the terms outlined above in relation to the Privacy Act and the supply of personal information.

I/We understand that the Fund is a vehicle for investment; the value of my/our investment is liable to fluctuations and may rise and fall from time to time. I/We understand the manner in which the fees will be deducted from my/our investment.

I/We understand and authorise Clarity to disclose personal information of the signatories below for the purposes of FATCA/CRS compliance including complying with requests from regulatory authorities or as otherwise required by law. I/We understand and acknowledge that Clarity may be required to obtain further information from me for the purposes of disclosure for FATCA/CRS compliance.

I/We acknowledge that Clarity may be required to disclose information about me/us and my/our investments to third parties, if disclosure is required by law, including laws of other jurisdictions, for example to government and regulatory authorities.

I/We agree to indemnify Clarity against any loss it may suffer as a result of me/us providing incorrect or incomplete information.

I/We agree that Clarity will not be liable to me/us or any other third party for any refusal to process, or delay in, processing any transaction on my/our behalf or a suspension of my/our account by Clarity in accordance with the anti-money laundering and countering financing of terrorism laws.

I/We agree to advise Clarity immediately if my/our tax residency changes, or my/our Prescribed Investor Rate changes.

I/We agree to advise Clarity immediately if any individual associated with myself/us or any immediate family member holds a prominent public position, not previously held, in any overseas country.

For the purpose of verifying my identity, I consent to the personal information that I have provided being used with (and, where necessary, disclosed to) the following sources: (1) the NZTA for the purpose of checking the MOTO database; (2) the Department of Internal Affairs for the purpose of checking the Passport database; (3) Land Information New Zealand; (4) the Companies Office; (5) Centrix Group Limited and APLY Limited (and I authorise Centrix and APLY to use any information that they hold in their credit reporting bureau about me to compare the information that I have provided); and (6) the White Pages.

Signature of Applicant

 /  / 

Date

Signature of Applicant

 /  / 

Date

Signature of Applicant

 /  / 

Date

Signature of Applicant

 /  / 

Date

## Signing Instruction

The signing instruction sets out how signatories can operate this account with respect to daily transactions and general instructions. Please select one of the following options:

All signatories must sign together

At least \_\_\_\_ signatories must sign together

Any one signatory can sign

Other (please provide details)

Please note that changes to the nominated bank account or portfolio closure will require all signatories to sign.

The signing instruction can be changed at any time by providing the Manager with notice in writing which is signed by all signatories.

## Financial Adviser's Declaration (if applicable)

I have met with the above individual(s) and have collected a copy of their current passport or NZ driver's licence and confirm it represents the true likeness of named individual(s).

Financial Adviser's Name

Financial Adviser's Agency Number

Financial Adviser's Signature

Date

# TAHITO Ethical Sustainable Fund Application Form

Trust or Estate Investors



TAHITO

(Please tick appropriate box)

- This is an initial application
- This is a subsequent investment  
(please insert your investor number in the boxes provided)

OFFICE USE ONLY			
Date	/	/	

CFM	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
INVESTOR NUMBER					

## Investor Details

Entity Name

Entity Address

Entity Type:  Family Trust  Standard Trust  Charitable Trust  Estate

Jurisdiction of Establishment

Is the entity registered?  Yes  No

If so, please provide registration number:

IRD Number

Portfolio Investor Rate (PIR):  0%  10.5%  17.5%  28%

(tick one - if none selected 28% will apply)

Country(ies) of Tax Residency

The entity is a tax resident of New Zealand  Yes  No

The entity is a tax resident of other country(ies)  Yes  No If yes, more details are required.

For each country, except New Zealand, you will need to provide a Tax ID Number (TIN) or equivalent in the table below. If a TIN is not available for that country, use the appropriate reason A, B or C.

**Reason A** The country where the entity is a tax resident does not issue a TIN to its residents

**Reason B** The entity has not been issued a TIN by my country of tax residence  
(please include an explanation as to why a TIN was not issued to the entity in the table below)

**Reason C** The domestic law of the country where the entity is a tax resident does not require the collection of a TIN

Country of Tax Residency	Tax ID Number (TIN)	Enter Reason A, B, or C if no TIN is available	If you have selected Reason B please provide an explanation
<input style="width: 100%; height: 25px;" type="text"/>	<input style="width: 100%; height: 25px;" type="text"/>	<input style="width: 100%; height: 25px;" type="text"/>	<input style="width: 100%; height: 25px;" type="text"/>
<input style="width: 100%; height: 25px;" type="text"/>	<input style="width: 100%; height: 25px;" type="text"/>	<input style="width: 100%; height: 25px;" type="text"/>	<input style="width: 100%; height: 25px;" type="text"/>
<input style="width: 100%; height: 25px;" type="text"/>	<input style="width: 100%; height: 25px;" type="text"/>	<input style="width: 100%; height: 25px;" type="text"/>	<input style="width: 100%; height: 25px;" type="text"/>

## Investor Details continued

### Entity Classification

For more information, please refer to the OECD website, the IRD or consult your tax adviser.

Q1. Is the entity a Financial Institution?

No, the entity is not a Financial Institution.

Yes, the entity is a Financial Institution

Please select which type of Financial Institution from the options below:

The entity is a Depository Institution

The entity is a Custodial Institution

The entity is a Specified Insurance Company

The entity is an Investment Entity

- Is the Investment Entity managed by another Financial Institution?  Yes  No

If the Financial Institution has a Global Intermediary Identification Number (GIIN) please provide it below.

If you do not have a GIIN please indicate the reason:

The entity is a Deemed Compliant Financial Institution

The entity is an Exempt Beneficial Owner

Other (please provide more detail):

Q2. Is the entity's primary business activity selling goods or services or is it a non-profit entity?

Select 'Yes' below if either:

- The entity earns or intends to earn 50% or more of its total income from trading activities; and 50% or more of the entity's assets produce or are held for producing trading income; **OR**
- The entity is a non-profit entity and exempt from income tax in its country/jurisdiction of residence.

Yes  No

What is the nature and purpose of the investment, for example, income generation, capital gain or retirement savings?

## Investor Details continued

### Source of Funds/Wealth

Please tell us the original source of the funds/wealth you are investing with us.

- |  |   |   |
|--|---|---|
| <input type="checkbox"/> Property sale       | <input type="checkbox"/> Gift/Inheritance | <input type="checkbox"/> Business activity      |
| <input type="checkbox"/> Accumulated savings | <input type="checkbox"/> Personal income  | <input type="checkbox"/> Other (describe below) |

Please provide details including dates and amounts. For example, sale of family home at address for amount on date

<input type="text"/>
<input type="text"/>
<input type="text"/>

You will need to provide proof of the source of funds/wealth. Note we may ask for additional information to support your application.

In the last 12 months, has any individual associated with the investor, or an immediate family member, held a prominent public position in any overseas country? For example, high level judicial or military role, ministerial role, diplomat role or senior executive of a state run/ owned enterprise.

- No     Yes (if "yes", please specify)

<input type="text"/>
<input type="text"/>

## Contact Details

Primary Contact Person (for general enquiries)

<input type="text"/>
----------------------

Daytime Phone

<input type="text"/>
----------------------

Mobile Phone

<input type="text"/>
----------------------

Email Address

<input type="text"/>
----------------------

- If this person is authorised to give instructions, and is not an investor please complete page 37, Authorised Person section.

## Individual Details

This section must be completed by all trustees, executors, settlors, protectors or other parties who have control of the trust assets.

Title	First Name	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>

Date of Birth	Relationship to the Entity
<input type="text"/> / <input type="text"/> / <input type="text"/>	<input type="text"/>

Physical Address

  


Email Address	Phone Number
<input type="text"/>	<input type="text"/>

Occupation	Employer
<input type="text"/>	<input type="text"/>

Country(ies) of citizenship/nationality, please specify below	
<input type="text"/>	<input type="text"/>

### Country(ies) of Tax Residency

I am a tax resident of New Zealand  Yes  No

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<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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Title  First Name  Surname

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Physical Address

Email Address  Phone Number

Occupation  Employer

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<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

## Individual Details

Title	First Name	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>

Date of Birth	Relationship to the Entity
<input type="text"/> / <input type="text"/> / <input type="text"/>	<input type="text"/>

Physical Address

  


Email Address	Phone Number
<input type="text"/>	<input type="text"/>

Occupation	Employer
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<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

## Individual Details

Title	First Name	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>

Date of Birth	Relationship to the Entity
<input type="text"/> / <input type="text"/> / <input type="text"/>	<input type="text"/>

Physical Address

  


Email Address	Phone Number
<input type="text"/>	<input type="text"/>

Occupation	Employer
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<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

## Authorised Person

Complete this section if you want to authorise a person (who is not one of the individuals named above) to give instructions and obtain account information on your behalf.

Title	First Name	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>

Date of Birth	Relationship to the Entity
<input type="text"/> / <input type="text"/> / <input type="text"/>	<input type="text"/>

Physical Address

  


Email Address	Phone Number
<input type="text"/>	<input type="text"/>

Occupation	Employer
<input type="text"/>	<input type="text"/>

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<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

## Investment Details

I wish to invest in the following Fund:

	Investment Amount*
TAHITO Ethical Sustainable Fund	\$ <input type="text"/>
TOTAL	\$ <input type="text"/>

\* Initial investment minimum is \$1,000. Subsequent Investment minimum is \$500.

### Payment

Direct credit

(Please deposit direct credit payments in the following BNZ account: 02-0108-0567359-00 in the name of THE NEW ZEALAND GUARDIAN TRUST COMPANY LIMITED ACTING AS SUPERVISOR FOR THE TAHITO TE O REHUA FUND and reference with your Investor number)

## Bank Account

This bank account will be used for distributions and/or withdrawals.

Account Name

Bank

(The bank account must be in the Investor's / Entity name)

Branch

Account Number

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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BANK

BRANCH

ACCOUNT

SUFFIX

## The Privacy Act

The personal information you have supplied may be used by the Manager and the Supervisor (and related entities detailed in Section 7) for the purposes of enabling the Manager to arrange and manage your investment, and to contact you in relation to your investment. Your personal information may also be disclosed to, and held and used by third parties that provide services to Clarity.

The manager may also share your information with government agencies if requested. Clarity in turn will take reasonable steps to ensure the third party does not breach the Privacy Principles. You have the right to access all personal information held about you by the Manager and if any of the information is incorrect, you have the right to have it corrected. The Manager will provide you (on request) with the name and address of any entity to which your information has been disclosed.

We collect most personal information directly from you. Sometimes we may (and you authorise us to) collect information about you from publicly available sources of information. You acknowledge that you are authorised to provide personal information on behalf of the applicant and evidence of this authority is provided (in the case of a parent/guardian/other providing information about the applicant).

You agree that your/each of your names and addresses may be used by Clarity to provide you with newsletters and other information about the Fund and other products and services.

## Declaration

I/We declare that the information provided on these documents is true and accurate. I/We have read and retained a copy of the current Product Disclosure Statement and agree to be bound by the terms and conditions of the Trust Deed and relevant Establishment Deeds (as amended from time to time). I/We agree to the terms outlined above in relation to the Privacy Act and the supply of personal information.

I/We understand that the Fund is a vehicle for investment; the value of my/our investment is liable to fluctuations and may rise and fall from time to time. I/We understand the manner in which the fees will be deducted from my/our investment.

I/We understand and authorise Clarity to disclose personal information of the signatories below for the purposes of FATCA/CRS compliance including complying with requests from regulatory authorities or as otherwise required by law. I/We understand and acknowledge that Clarity may be required to obtain further information from me for the purposes of disclosure for FATCA/CRS compliance.

I/We acknowledge that Clarity may be required to disclose information about me/us and my/our investments to third parties, if disclosure is required by law, including laws of other jurisdictions, for example to government and regulatory authorities.

I/We agree to indemnify Clarity against any loss it may suffer as a result of me/us providing incorrect or incomplete information.

I/We agree that Clarity will not be liable to me/us or any other third party for any refusal to process, or delay in, processing any transaction on my/our behalf or a suspension of my/our account by Clarity in accordance with the anti-money laundering and countering financing of terrorism laws.

I/We agree to advise Clarity immediately if my/our tax residency changes, or my/our Prescribed Investor Rate changes.

I/We agree to advise Clarity immediately if any individual associated with myself/us or any immediate family member holds a prominent public position, not previously held, in any overseas country.

For the purpose of verifying my identity, I consent to the personal information that I have provided being used with (and, where necessary, disclosed to) the following sources: (1) the NZTA for the purpose of checking the MOTO database; (2) the Department of Internal Affairs for the purpose of checking the Passport database; (3) Land Information New Zealand; (4) the Companies Office; (5) Centrix Group Limited and APLY Limited (and I authorise Centrix and APLY to use any information that they hold in their credit reporting bureau about me to compare the information that I have provided); and (6) the White Pages.

Signature of Trustee or Executor or Authorised Signatory

/  /

Date

Signature of Trustee or Executor or Authorised Signatory

/  /

Date

Signature of Trustee or Executor or Authorised Signatory

/  /

Date

Signature of Trustee or Executor or Authorised Signatory

/  /

Date

## Signing Instruction

The signing instruction sets out how signatories can operate this account with respect to daily transactions and general instructions. Please select one of the following options:

All signatories must sign together

At least \_\_\_\_ signatories must sign together

Any one signatory can sign

Other (please provide details)

Please note that changes to the nominated bank account or portfolio closure will require all signatories to sign.

The signing instruction can be changed at any time by providing the Manager with notice in writing which is signed by all signatories.

## Financial Adviser's Declaration (if applicable)

I have met with the above individual(s) and have collected a copy of their current passport or NZ driver's licence and confirm it represents the true likeness of named individual(s).

Financial Adviser's Name

Financial Adviser's Agency Number

Financial Adviser's Signature

Date

/ /



# TAHITO Ethical Sustainable Fund Application Form

Company, Partnership, Other Incorporated and Unincorporated Entities

(Please tick appropriate box)

- This is an initial application
- This is a subsequent investment  
(please insert your investor number in the boxes provided)

OFFICE USE ONLY

Date     /     /

CFM					
-----	--	--	--	--	--

INVESTOR NUMBER

## Investor Type

- |  |  |  |
|--|--|--|
| <input type="checkbox"/> Company               | <input type="checkbox"/> Partnership Other | <input type="checkbox"/> Unincorporated Entity or Body     |
| <input type="checkbox"/> Superannuation Scheme |  | <input type="checkbox"/> Other Incorporated Entity or Body |

## Investor Details

Entity Name

Registered Address

Entity Type

- |  |   |  |
|--|---|--|
| <input type="checkbox"/> Company Private | <input type="checkbox"/> Company Public | <input type="checkbox"/> Company Government Body |
|--|---|--|

Country of Registration

Is the entity registered?

- |                              |                             |
|------------------------------|-----------------------------|
| <input type="checkbox"/> Yes | <input type="checkbox"/> No |
|------------------------------|-----------------------------|

If so, please provide registration number:

Is the entity operating as a charity?

- |                              |                             |
|------------------------------|-----------------------------|
| <input type="checkbox"/> Yes | <input type="checkbox"/> No |
|------------------------------|-----------------------------|

Is the entity a vehicle for holding personal assets?

- |                              |                             |
|------------------------------|-----------------------------|
| <input type="checkbox"/> Yes | <input type="checkbox"/> No |
|------------------------------|-----------------------------|

Does the company have any nominee directors or shareholders?

- |                              |                             |
|------------------------------|-----------------------------|
| <input type="checkbox"/> Yes | <input type="checkbox"/> No |
|------------------------------|-----------------------------|

Does the company issue shares in bearer form?

- |                              |                             |
|------------------------------|-----------------------------|
| <input type="checkbox"/> Yes | <input type="checkbox"/> No |
|------------------------------|-----------------------------|

What is the nature and purpose of the investment, for example, income generation, capital gain or retirement savings?

## Investor Details continued

### Source of Funds/Wealth

Please tell us the original source of the funds/wealth you are investing with us.

- Property sale
  Gift/Inheritance
  Business activity  
 Accumulated savings
  Other (describe below)

Please provide details including dates and amounts. For example, business proceeds of amount from the sale of distribution on date.


Note, we may need proof or additional information to support your application.

In the last 12 months, has any individual associated with the investor, or an immediate family member, held a prominent public position in any overseas country? For example, high level judicial or military role, ministerial role, diplomat role or senior executive of a state run/ owned enterprise.

- No
  Yes (if "yes", please specify)

--

IRD Number

<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
--------------------------	--------------------------	--------------------------	--------------------------	--------------------------	--------------------------	--------------------------	--------------------------	--------------------------

Portfolio Investor Rate (PIR):

- 0%
  10.5%
  17.5%
  28%  
(tick one - if none selected 28% will apply)

### Country(ies) of Tax Residency

The entity is a tax resident of New Zealand  Yes  No

The entity is a tax resident of other country(ies)  Yes  No If yes, more details are required.

For each country, except New Zealand, you will need to provide a Tax ID Number (TIN) or equivalent in the table below. If a TIN is not available for that country, use the appropriate reason A, B or C.

**Reason A** The country where the entity is a tax resident does not issue a TIN to its residents

**Reason B** The entity has not been issued a TIN by my country of tax residence  
(please include an explanation as to why a TIN was not issued to to the entity in the table below)

**Reason C** The domestic law of the country where the entity is a tax resident does not require the collection of a TIN

Country of Tax Residency	Tax ID Number (TIN)	Enter Reason A, B, or C if no TIN is available	If you have selected Reason B please provide an explanation
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## Investor Details continued

### Entity Classification

For more information, please refer to the OECD website, the IRD or consult your tax adviser.

Q1. Is the entity a Financial Institution?

No, the entity is not a Financial Institution.

Yes, the entity is a Financial Institution

Please select which type of Financial Institution from the options below:

The entity is a Depository Institution

The entity is a Custodial Institution

The entity is a Specified Insurance Company

The entity is an Investment Entity

- Is the Investment Entity managed by another Financial Institution?  Yes  No

If the Financial Institution has a Global Intermediary Identification Number (GIIN) please provide it below.

If you do not have a GIIN please indicate the reason:

The entity is a Deemed Compliant Financial Institution

The entity is an Exempt Beneficial Owner

Other (please provide more detail):

Q2. Is the entity's primary business activity selling goods or services or is it a non-profit entity?

Select 'Yes' below if either:

- The entity earns or intends to earn 50% or more of its total income from trading activities; and 50% or more of the entity's assets produce or are held for producing trading income; OR
- The entity is a non-profit entity and exempt from income tax in its country/jurisdiction of residence.

Yes  No

## Contact Details

Primary Contact Person (for general enquiries)

Daytime Phone

Mobile Phone

Email Address

If this person is authorised to give instructions, and is not an investor please complete page 48, Authorised Person section.

## Individual Details

This section must be completed by all directors, shareholders, partners, senior managers/officers or persons with effective control of the company.

Title	First Name	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>

Date of Birth	Relationship to the Entity
<input type="text"/> / <input type="text"/> / <input type="text"/>	<input type="text"/>

Physical Address

  


Email Address	Phone Number
<input type="text"/>	<input type="text"/>

Country(ies) of citizenship/nationality, please specify below	
<input type="text"/>	<input type="text"/>

### Country(ies) of Tax Residency

I am a tax resident of New Zealand  Yes  No

I am a tax resident of other country(ies)  Yes  No If yes, more details are required.

For each country, except New Zealand, you will need to provide a Tax ID Number (TIN) or equivalent in the table below. If a TIN is not available for that country, use the appropriate reason A, B or C.

**Reason A**      The country where I am a tax resident does not issue a TIN to its residents

**Reason B**      I have not been issued a TIN by my country of tax residence  
(please include an explanation as to why a TIN was not issued to you in the table below)

**Reason C**      The domestic law of the country where I am a tax resident does not require the collection of a TIN

Country of Tax Residency	Tax ID Number (TIN)	Enter Reason A, B, or C if no TIN is available	If you have selected Reason B please provide an explanation
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

## Individual Details

Title	First Name	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>

Date of Birth	Relationship to the Entity
<input type="text" value="/"/> <input type="text" value="/"/>	<input type="text"/>

Physical Address

  


Email Address	Phone Number
<input type="text"/>	<input type="text"/>

Country(ies) of citizenship/nationality, please specify below	
<input type="text"/>	<input type="text"/>

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<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

## Individual Details

Title	First Name	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>

Date of Birth	Relationship to the Entity
<input type="text" value="/"/> <input type="text" value="/"/>	<input type="text"/>

Physical Address

  


Email Address	Phone Number
<input type="text"/>	<input type="text"/>

Country(ies) of citizenship/nationality, please specify below	
<input type="text"/>	<input type="text"/>

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<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

## Individual Details

Title	First Name	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>

Date of Birth	Relationship to the Entity
<input type="text" value="/"/> <input type="text" value="/"/>	<input type="text"/>

Physical Address

  


Email Address	Phone Number
<input type="text"/>	<input type="text"/>

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<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

## Authorised Person

Complete this section if you want to authorise a person (who is not one of the individuals named above) to give instructions and obtain account information on your behalf.

Title  First Name  Surname

Date of Birth  /  /  Relationship to the Entity

Physical Address

Email Address  Phone Number

Country(ies) of citizenship/nationality, please specify below

### Country(ies) of Tax Residency

I am a tax resident of New Zealand  Yes  No

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<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

## Investment Details

I wish to invest in the following Fund:

	Investment Amount*
TAHITO Ethical Sustainable Fund	\$ <input type="text"/>
TOTAL	\$ <input type="text"/>

\* Initial investment minimum is \$1,000. Subsequent Investment minimum is \$500.

Payment

Direct credit (Please deposit direct credit payments in the following BNZ account: 02-0108-0567359-00 in the name of THE NEW ZEALAND GUARDIAN TRUST COMPANY LIMITED ACTING AS SUPERVISOR FOR THE TAHITO TE O REHUA FUND and reference with your Investor number)

## Bank Account

This bank account will be used for distributions and/or withdrawals.

Account Name	Bank
<input type="text"/>	<input type="text"/>

(The bank account must be in the Investor's / Entity name)

Branch	Account Number
<input type="text"/>	<input type="text"/>
	BANK BRANCH ACCOUNT SUFFIX

## The Privacy Act

The personal information you have supplied may be used by the Manager and the Supervisor (and related entities detailed in Section 7) for the purposes of enabling the Manager to arrange and manage your investment, and to contact you in relation to your investment. Your personal information may also be disclosed to, and held and used by third parties that provide services to Clarity.

The manager may also share your information with government agencies if requested. Clarity in turn will take reasonable steps to ensure the third party does not breach the Privacy Principles. You have the right to access all personal information held about you by the Manager and if any of the information is incorrect, you have the right to have it corrected. The Manager will provide you (on request) with the name and address of any entity to which your information has been disclosed.

We collect most personal information directly from you. Sometimes we may (and you authorise us to) collect information about you from publicly available sources of information. You acknowledge that you are authorised to provide personal information on behalf of the applicant and evidence of this authority is provided (in the case of a parent/guardian/other providing information about the applicant).

You agree that your/each of your names and addresses may be used by Clarity to provide you with newsletters and other information about the Fund and other products and services.

## Declaration

I/We declare that the information provided on these documents is true and accurate. I/We have read and retained a copy of the current Product Disclosure Statement and agree to be bound by the terms and conditions of the Trust Deed and relevant Establishment Deeds (as amended from time to time). I/We agree to the terms outlined above in relation to the Privacy Act and the supply of personal information.

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Signature of Director, Partner, Officer or Authorised Signatory

Date

Signature of Director, Partner, Officer or Authorised Signatory

Date

Signature of Director, Partner, Officer or Authorised Signatory

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## Financial Adviser's Declaration (if applicable)

- I have met with the above individual(s) and have collected a copy of their current passport or NZ driver's licence and confirm it represents the true likeness of named individual(s).

Financial Adviser's Name

Financial Adviser's Agency Number

Financial Adviser's Signature

Date