

Puka Tono | Application Form

Te (ngā) Kaihaumi Takitahi | Individual Investor(s)



(Tohua te pouaka tika) | (Please tick appropriate box)

- ☐ He tono tīmatanga tēnei
This is an initial application
- ☐ He whakangao whaimuri tēnei (whakaurua tō tau kaihaumi i roto i ngā pouaka e whakaratoa ana)
This is a subsequent investment (please insert your investor number in the boxes provided)

MĀ TE TARI ANAKE: | OFFICE USE ONLY

Te Rā | Date

CFM

TAU KAIHAUMI | INVESTOR NUMBER

Ngā Taipitopito Kaihaumi | Investor Details

Ingoa | Name

He aha te āhuatanga me te kaupapa o te haumi, hei tauira, kia whakaputa moni whiwhi, hua haupū rawa, penapena tāoki rānei?
What is the nature and purpose of the investment, for example, income generation, capital gain or retirement savings?

Mātāpuna Tahua/Whairawa | Source of Funds/Wealth

Tēnā whakamōhio mai he aha te mātāpuna tuatahi o ngā tahua/whairawa e haumi ana koe ki a mātou.
Please tell us the original source of the funds/wealth you are investing with us.

- ☐ He hoko whenua
Property sale
- ☐ Perehana/Tukunga iho
Gift/Inheritance
- ☐ Mahi pakihi
Business activity
- ☐ He tōpūtanga moni penapena
Accumulated savings
- ☐ Whiwhinga pūtea matawhaiaro
Personal income
- ☐ Tētahi atu (whakaahuatia i raro iho)
Other (describe below)

Tēnā homai ngā taipitopito tae atu ki ngā rā me ngā rahinga. Hei tauira, te hokonga o te kāinga ā-whānau i te wāhi noho mō te utu i runga i te rā.
Please provide details including dates and amounts. For example, sale of family home at address for amount on date.

Kia mōhio mai, ka hiahia pea mātou ki te hāpono, ki ētahi atu mōhiohia pea hei tautoko i tō tono.
Note, we may need proof or additional information to support your application.

I ngā marama 12 kua pahure ake nei, kua whai tūnga tūmatanui hira i tētahi whenua i tāwāhi tētahi tangata takitahi e honoa ana ki te kaihaumi, tētahi mema ake o te whānau rānei? Hei tauira, he tūnga teitei hei kaiwhakawā, hei kaingārahu rānei, hei minita, hei takawaenga, hei tumuaki rānei o tētahi hinonga ka whakahaeretia e te kāwanatanga, nō te kāwanatanga rānei.

In the last 12 months, has any individual associated with the investor, or an immediate family member, held a prominent public position in any overseas country? For example, high level judicial or military role, ministerial role, diplomat role or senior executive of a state run/ owned enterprise.

- ☐ Kāo
No
- ☐ Āe (mēnā “Āe”, whakamāramahia i raro iho)
Yes (if “yes”, please specify below)

Ngā Taipitopito Whakapā | Contact Details

Tangata Whakapā Matua (mō ngā uiui whānui) | Primary Contact Person (for general enquiries)

Waea Awatea | Daytime Phone

Waea Pūkoro | Mobile Phone

Wāhitau Īmēra | Email Address

☐

Mēnā e whaimana ana tēnei tangata ki te tuku tohutohu, ā, ehara i te kaihaumi tēnā whakaotia te whārangi 28, wāhanga Tangata Whaimana.

If this person is authorised to give instructions, and is not an investor please complete page 28, Authorised Person section.

Ngā Taipitopito Tangata Takitahi | Individual Details

Taitara | Title

Ingoa Tuatahi | First Name

Ingoa Whānau | Surname

Rā whānau | Date of Birth

Tau Waea | Phone Number

Wāhitau Īmēra | Email Address

Wāhi noho | Physical Address

Te (ngā) whenua o te kirirarau/whenua ake, tautuhia i raro iho | Country(ies) of citizenship/nationality, please specify below

Tau IRD | IRD Number

Mahi | Occupation

Pāpātanga Kaihaumi Kōpaki (PIR) | Portfolio Investor Rate (PIR):

0% 10.5% 17.5% 28%

(tīpakohia tētahi - ki te kore tētahi e tīpakohia ka hāngai te 28%)
(tick one - if none selected 28% will apply)

Te (ngā) whenua o te Nōhanga Tāke | Country(ies) of Tax Residency

He kainoho tāke nō Aotearoa au | I am a tax resident of New Zealand

Āe | Kāo

Yes | No

He kainoho tāke nō (t)ētahi atu whenua | I am a tax resident of other country(ies)

Āe | Kāo

Yes | No

Mēnā āe, e hiahiatia ana ētahi atu taipitopito. | If yes, more details are required.

Mō ia whenua, hāunga Aotearoa, me whakarato koe i tētahi Tau ID Tāke (TIN) te mea ōrite rānei i roto i te tūtohi i raro iho. Mēnā kāore e wātea ana te TIN mō taua whenua, whakamahia te take tika A, B, C rānei.

For each country, except New Zealand, you will need to provide a Tax ID Number (TIN) or equivalent in the table below. If a TIN is not available for that country, use the appropriate reason A, B or C.

Take A | Kāore te whenua e noho ana au hei kainoho tāke e tuku ana i te TIN ki ana kainoho

Reason A | The country where I am a tax resident does not issue a TIN to its residents

Take B | Kāore i tukuna te TIN ki a au e te whenua e noho ana au hei kainoho tāke

(tēnā whakaurua mai te whakamārama he aha i kore ai i tukuna ki a koe i roto i te tūtohi i raro iho)

Reason B | I have not been issued a TIN by my country of tax residence

(please include an explanation as to why a TIN was not issued to you in the table below)

Take C | Kāore e hiahiatia ana e te ture ā-motu o te whenua e noho ana au hei kainoho tāke te kohinga o te TIN

Reason C | The domestic law of the country where I am a tax resident does not require the collection of a TIN

Te whenua o te Nōhanga Tāke	Te Tau IRD Tāke (TIN)	Whakaurua Take A, B, C rānei ki te kore e wātea ana te TIN	Mēnā kua tīpako koe i te Take B whakaratoa tētahi whakamārama
Country of Tax Residency	Tax ID Number (TIN)	Enter Reason A, B, or C if no TIN is available	If you have selected Reason B please provide an explanation

Ngā Taipitopito Tangata Takitahi | Individual Details

Taitara | Title Ingoa Tuatahi | First Name

Ingoa Whānau | Surname

Rā whānau | Date of Birth Tau Waea | Phone Number Wāhitau Īmēra | Email Address

Wāhi noho | Physical Address

Te (ngā) whenua o te kirirarau/whenua ake, tautuhia i raro iho | Country(ies) of citizenship/nationality, please specify below

Tau IRD | IRD Number Mahi | Occupation

Pāpātanga Kaihaumi Kōtaha (PIR) | Portfolio Investor Rate (PIR): 0% 10.5% 17.5% 28%
(tīpakohia tētahi - ki te kore tētahi e tīpakohia ka hāngai te 28%)
(tick one - if none selected 28% will apply)

Te (ngā) whenua o te Nōhanga Tāke | Country(ies) of Tax Residency

He kainoho tāke nō Aotearoa au ☐ Āe ☐ Kāo
I am a tax resident of New Zealand Yes No

He kainoho tāke nō (t)ētahi atu whenua ☐ Āe ☐ Kāo Mēnā āe, e hiahiatia ana ētahi atu taipitopito.
I am a tax resident of other country(ies) Yes No If yes, more details are required.

Mō ia whenua, hāunga Aotearoa, me whakarato koe i tētahi Tau ID Tāke (TIN) te mea ōrite rānei i roto i te tūtohi i raro iho. Mēnā kāore e wātea ana te TIN mō taua whenua, whakamahia te take tika A, B, C rānei.

For each country, except New Zealand, you will need to provide a Tax ID Number (TIN) or equivalent in the table below. If a TIN is not available for that country, use the appropriate reason A, B or C.

Take A Kāore te whenua e noho ana au hei kainoho tāke e tuku ana i te TIN ki ana kainoho
Reason A The country where I am a tax resident does not issue a TIN to its residents

Take B Kāore i tukuna te TIN ki a au e te whenua e noho ana au hei kainoho tāke (tēnā whakaurua mai te whakamārama he aha i kore ai i tukuna ki a koe i roto i te tūtohi i raro iho)
Reason B I have not been issued a TIN by my country of tax residence (please include an explanation as to why a TIN was not issued to you in the table below)

Take C Kāore e hiahiatia ana e te ture ā-motu o te whenua e noho ana au hei kainoho tāke te kohinga o te TIN
Reason C The domestic law of the country where I am a tax resident does not require the collection of a TIN

Te whenua o te Nōhanga Tāke	Te Tau IRD Tāke (TIN)	Whakaurua Take A, B, C rānei ki te kore e wātea ana te TIN	Mēnā kua tīpako koe i te Take B whakaratoa tētahi whakamārama
Country of Tax Residency	Tax ID Number (TIN)	Enter Reason A, B, or C if no TIN is available	If you have selected Reason B please provide an explanation

He haumi Mā tētahi Tamaiti / Haumi mā tētahi Tangata Takitahi mā te Mana o te Rōia

Investment on Behalf of a Minor / Investment for an Individual by a Power of Attorney

Taitara | Title

Ingoa Tuatahi | First Name

Ingoa Whānau | Surname

Rā whānau | Date of Birth

Tau Waea | Phone Number

Wāhitau Īmēra | Email Address

Wāhi noho | Physical Address

Te (ngā) whenua o te kirirarau/whenua ake, tautuhia i raro iho | Country(ies) of citizenship/nationality, please specify below

Te (ngā) whenua o te Nōhanga Tāke | Country(ies) of Tax Residency

He kainoho tāke nō Aotearoa au
I am a tax resident of New Zealand

☐ Āe
Yes

☐ Kāo
No

He kainoho tāke nō (t)ētahi atu whenua
I am a tax resident of other country(ies)

☐ Āe
Yes

☐ Kāo
No

Mēnā āe, e hiahiatia ana ētahi atu taipitopito.
If yes, more details are required.

Mō ia whenua, hāunga Aotearoa, me whakarato koe i tētahi Tau ID Tāke (TIN) te mea ōrite rānei i roto i te tūtohi i raro iho. Mēnā kāore e wātea ana te TIN mō taua whenua, whakamahia te take tika A, B, C rānei.

For each country, except New Zealand, you will need to provide a Tax ID Number (TIN) or equivalent in the table below. If a TIN is not available for that country, use the appropriate reason A, B or C.

- Take A

Reason A

Kāore te whenua e noho ana au hei kainoho tāke e tuku ana i te TIN ki ana kainoho

The country where I am a tax resident does not issue a TIN to its residents
- Take B

Reason B

Kāore i tukuna te TIN ki a au e te whenua e noho ana au hei kainoho tāke

(tēnā whakaurua mai te whakamārama he aha i kore ai i tukuna ki a koe i roto i te tūtohi i raro iho)

I have not been issued a TIN by my country of tax residence

(please include an explanation as to why a TIN was not issued to you in the table below)
- Take C

Reason C

Kāore e hiahiatia ana e te ture ā-motu o te whenua e noho ana au hei kainoho tāke te kohinga o te TIN

The domestic law of the country where I am a tax resident does not require the collection of a TIN

Te whenua o te Nōhanga Tāke	Te Tau IRD Tāke (TIN)	Whakaurua Take A, B, C rānei ki te kore e wātea ana te TIN	Mēnā kua tīpako koe i te Take B whakaratoa tētahi whakamārama
Country of Tax Residency	Tax ID Number (TIN)	Enter Reason A, B, or C if no TIN is available	If you have selected Reason B please provide an explanation

Tangata Whaimana | Authorised Person

Whakaotia tēnei wāhanga mēnā e hiahia ana koe ki te whakamana i tētahi tangata (ehara i tētahi o ngā tāngata takitahi i whakaingoatia i runga ake) hei tohutohu me te whiwhi mōhiohio māu.

Complete this section if you want to authorise a person (who is not one of the individuals named above) to give instructions and obtain account information on your behalf.

Taitara | Title

Ingoa Tuatahi | First Name

Ingoa Whānau | Surname

Rā whānau | Date of Birth

Tau Waea | Phone Number

Wāhitau Īmēra | Email Address

Whanaungatanga ki te Kaihaumi | Relationship to Investor

Mahi | Occupation

Wāhitau Ōkiko | Physical Address

Te (ngā) whenua o te kirirarau/whenua ake, tautuhia i raro iho | Country(ies) of citizenship/nationality, please specify below

Te (ngā) whenua o te Nōhanga Tāke | Country(ies) of Tax Residency

He kainoho tāke nō Aotearoa au
I am a tax resident of New Zealand

☐ Āe
Yes

☐ Kāo
No

He kainoho tāke nō (t)ētahi atu whenua
I am a tax resident of other country(ies)

☐ Āe
Yes

☐ Kāo
No

Mēnā āe, e hiahiatia ana ētahi atu taipitopito.
If yes, more details are required.

Mō ia whenua, hāunga Aotearoa, me whakarato koe i tētahi Tau ID Tāke (TIN) te mea ōrite rānei i roto i te tūtohi i raro iho. Mēnā kāore e wātea ana te TIN mō taua whenua, whakamahia te take tika A, B, C rānei.

For each country, except New Zealand, you will need to provide a Tax ID Number (TIN) or equivalent in the table below. If a TIN is not available for that country, use the appropriate reason A, B or C.

Take A

Kāore te whenua e noho ana au hei kainoho tāke e tuku ana i te TIN ki ana kainoho

Reason A

The country where I am a tax resident does not issue a TIN to its residents

Take B

Kāore i tukuna te TIN ki a au e te whenua e noho ana au hei kainoho tāke (tēnā whakaurua mai te whakamārama he aha i kore ai i tukuna ki a koe i roto i te tūtohi i raro iho)

Reason B

I have not been issued a TIN by my country of tax residence (please include an explanation as to why a TIN was not issued to you in the table below)

Take C

Kāore e hiahiatia ana e te ture ā-motu o te whenua e noho ana au hei kainoho tāke te kohinga o te TIN

Reason C

The domestic law of the country where I am a tax resident does not require the collection of a TIN

Te whenua o te Nōhanga Tāke	Te Tau IRD Tāke (TIN)	Whakaurua Take A, B, C rānei ki te kore e wātea ana te TIN	Mēnā kua tīpako koe i te Take B whakaratoa tētahi whakamārama
Country of Tax Residency	Tax ID Number (TIN)	Enter Reason A, B, or C if no TIN is available	If you have selected Reason B please provide an explanation

Ngā taipitopito haumi | Investment details

E hiahia ana au ki te haumi i roto i te Tahua e whai ake nei:
I wish to invest in the following Fund:

	Rahinga Haumi* Investment Amount*	
TAHITO Te Tai o Rehua Fund	\$	☐ Moni Taurewa Tōtika Direct Credit
TŌPŪTANGA TOTAL	\$	

* Ko te haumi tuatahi mōkito ko te \$1,000. Ko te Haumi Whaimuri mōkito ko te \$500.
* Initial investment minimum is \$1,000. Subsequent Investment minimum is \$500.

Utunga | Payment

☐	Moni Taurewa Tōtika (Tēnā penapena i ngā utu moni taurewa tōtika ki te pūkete BNZ e whai ake nei: 02-0108-0567359-00 i roto i te ingoa o The New Zealand Guardian Trust Company Limited ASF Clarity Funds me te tohutoro me tō tau Haumi)
☐	Direct credit (Please deposit direct credit payments in the following BNZ account: 02-0108-0567359-00 in the name of The New Zealand Guardian Trust Company Limited ASF Clarity Funds and reference with your Investor number)

Pūkete Pēke | Bank Account

Ka whakamahia tēnei pūkete pēke hei whakahokinga.
This bank account will be used for redemptions.

Ingoa Pūkete Account Name	Pēke Bank
(Me whai te pūkete pēke i te ingoa o te Kaihaumi / Hinonga rānei) (The bank account must be in the Investor's / Entity name)	
Peka Branch	Tau Pūkete Account Number
	PĒKE BANK PEKA BRANCH PŪKETE ACCOUNT PĪMURI SUFFIX

Te Ture Matatapu

Ka hāngai tēnei tauākī ki ngā mōhiohio matawhaiaro e homai ana koe ki te Kaiwhakahaere mā tō tono me ētahi mōhiohio matawhaiaro ka homai koe ā muri ake.

Ka whakamahia hoki ngā mōhiohio matawhaiaro kua homai koe e te Kaiwhakahaere me te Kaitirotiro (me ngā hinonga tūhono e kōrerotia ana i roto i te Wāhanga 7) hei whakamana i te Kaiwhakarite ki te whakarite me te whakahaere i tō haumi, me te whakapā atu ki a koe e pā ana ki tō haumi. Ka whakarato te kaiwhakahaere ki a koe (ina tono koe) ki te ingoa me te wāhitau o te hinonga i whākina ai ngā mōhiohio. Ka tuari hoki pea te kaiwhakahaere i ō mōhiohio ki ngā tari kāwanatanga mēnā ka tonoa. He motika tōu kia āhei ki ngā mōhiohio katoa e whiwhia ana mōu e te Kaiwhakahaere, ā, mēnā e hē ana ētahi o ngā mōhiohio, te motika kia whakatikatikahia.

E tūtohu ana koe e whaimana ana koe ki te homai i ngā mōhiohio matawhaiaro mō te kaitono, ā, e whakaratoa ana te taunakitanga o tēnei mana (mēnā ko tētahi matua/ kaitiaki/tētahi atu e whakarato ana i ngā mōhiohio mō te kaitono).

E whakaae ana koe ka āhei a Clarity Funds Management Limited te whakamahi i tō ingoa /ia ingoa me te wāhitau hei whakarato i a koe i ngā kawerongo me ētahi atu mōhiohio mō te (ngā) Tahua me ētahi atu otinga, ratonga rānei.

Tauākī

Kua pānui au/mātou me te pupuri i te tārua o te Tauākī Whāki Otinga me te rā 21 Hōngongoi 2023, ā, e whakaae ana kia herea e ngā tikanga me ngā herenga o te Titi Tiakitanga me ngā Titi Whakatū Hāngai (i runga anō i te menemanatanga i ia wā).

Ka whakaae au/mātou ki ngā tikanga i whakahuatia i runga ake e pā ana ki te Ture Matatapu me te whakaratonga o ngā mōhiohio matawhaiaro.

E mārama ana au/mātou he waka a TAHITO Te Tai o Rehua Fund mō te haumi wā roa; ka mānenei pea taku/tā mātou haumi, ā, ka piki, ka heke i ētahi wā.

E mārama ana au/mātou ki te āhua e tangohia ai ngā utu i taku/tā mātou haumi.

E tūtohu ana au/mātou me whāki pea a Clarity i ngā mōhiohio mōku/mō mātou me aku/ā mātou haumi ki ngā rōpū kē, mēnā he herenga ā-ture ki te whāki, tae atu ki ngā ture o ētahi atu takiwā ture, hei tauira ki ngā mana kāwanatanga, kaiwhakarite rānei.

E whakaae ana au/mātou ki te paremata i a Clarity mō tētahi ngarohanga moni ka pā mai hei hua nō taku/tā mātou whakarato i ngā mōhiohio hē, hukihuki rānei.

E whakaae ana au/mātou kāore e noho haepapa ai a Clarity ki a au/mātou, tētahi atu rōpū kē rānei mō te whakahē ki te hātepe, te takaroa rānei ki te hātepe i tētahi kurutete māku/mā mātou, i te whakatārewahia o taku/tā mātou pūkete e Clarity i raro i ngā ture whakahē horoi pūtea me te ārai i te tuku pūtea ki ngā kaiwhakatuma.

E whakaae ana au/mātou ki te whakamōhio wawe atu ki Clarity Funds Management mēnā ka rerekē taku/tā mātou nōhanga tāke/ taku/tā mātou Pāpātanga Haumi Tūtohu rānei.

E whakaae ana au/mātou kia whakamōhio wawe ki a Clarity mēnā e noho ana tētahi tangata e tata ana ki a au/mātou, tētahi mema o te whānau rānei i te tūnga tūmatanui hira, kāore i mau i mua, i tētahi whenua i tāwāhi.

Mō te kaupapa whakatūturu i taku tuakiri, e whakaae ana au kia whakamahia ngā mōhiohio matawhaiaro kua whakarato au (ā, mēnā e hiahia ana, te whāki) ki ngā rōpū e whai ake nei:(1) te NZTA hei tiroiro i te pātengi raraunga o MOTO; (2) te Tari Taiwhenua hei tiroiro i te pātengi raraunga uruuruwhenua; 3) Land Information New Zealand; (4) Te Tari Kamupene; (5) Centrix Group Limited me APLY Limited (ā, e whakamana ana au i a Centrix me APLY ki te whakamahi i ētahi mōhiohio e whai ana rātou i roto i tō rātou tari pūrongorongo moni taurewa mōku he whakataurite ki ngā mōhiohio kua whakarato au; me (6) the White Pages.

Waitohu o te Kaitono

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Waitohu o te Kaitono

Te Rā

Te Rā

Te Rā

Te Rā

The Privacy Act

This statement relates to the personal information that you are providing to the Manager by way of the application and any subsequent personal information which you may provide in the future.

The personal information you have supplied may be used by the Manager and the Supervisor (and related entities detailed in Section 7) for the purposes of enabling the Manager to arrange and manage your investment, and to contact you in relation to your investment. The manager will provide you (on request) with the name and address of any entity to which information has been disclosed. The manager may also share your information with government agencies if requested. You have the right to access all personal information held about you by the Manager and if any of the information is incorrect, you have the right to have it corrected.

You acknowledge that you are authorised to provide personal information on behalf of the applicant and evidence of this authority is provided (in the case of a parent/guardian/other providing information about the applicant).

You agree that your/each of your names and addresses may be used by Clarity Funds Management Limited to provide you with newsletters and other information about the Fund(s) and other products and services.

Declaration

I/We have read and retained a copy of the **current** Product Disclosure Statement and agree to be bound by the terms and con-ditions of the Trust Deed and relevant Establishment Deeds (as amended from time to time).

I/We agree to the terms outlined above in relation to the Privacy Act and the supply of personal information.

I/We understand that the TAHITO Te Tai o Rehua Fund is a vehicle for long-term investment; the value of my/our investment is liable to fluctuations and may rise and fall from time to time.

I/We understand the manner in which the fees will be deducted from my/our investment.

I/we acknowledge that Clarity may be required to disclose information about me/us and my/our investments to third parties, if disclosure is required by law, including laws of other jurisdictions, for example to government and regulatory authorities.

I/We agree to indemnify Clarity against any loss it may suffer as a result of me/us providing incorrect or incomplete information.

I/We agree that Clarity will not be liable to me/us or any other third party for any refusal to process, or delay in, processing any transaction on my/our behalf or a suspension of my/our account by Clarity in accordance with the anti-money laundering and countering financing of terrorism laws.

I/We agree to advise Clarity Funds Management immediately if my/our tax residency changes, or my/our Prescribed Investor Rate changes.

I/We agree to advise Clarity immediately if any individual associated with myself/us or any immediate family member holds a prominent public position, not previously held, in any overseas country.

For the purpose of verifying my identity, I consent to the personal information that I have provided being used with (and, where necessary, disclosed to) the following sources: (1) the NZTA for the purpose of checking the MOTO database; (2) the Department of Internal Affairs for the purpose of checking the Passport database; (3) Land Information New Zealand; (4) the Companies Office; (5) Centrix Group Limited and APLY Limited (and I authorise Centrix and APLY to use any information that they hold in their credit reporting bureau about me to compare the information that I have provided); and (6) the White Pages.

Signature of Applicant

Date

Signature of Applicant

Date

Signature of Applicant

Date

Signature of Applicant

Date

Tohutohu waitohu | Signing Instruction

E whakatakoto ana te tohutohu waitohu me pēhea e whakahaeretia ai tēnei pūkete e ngā kaiwaitohu e pā ana ki ngā kurutete o ia rā me ngā tohutohu whānui. Tēnā, kōwhiria tētahi o ngā kōwhiringa e whai ake nei:

The signing instruction sets out how signatories can operate this account with respect to daily transactions and general instructions. Please select one of the following options:

- ☐ Me waitohu ngātahi ngā kaiwaitohu katoa
All signatories must sign together
- ☐ Ka taea e te kaiwaitohu kotahi te waitohu
Any one signatory can sign
- ☐ Kaua e iti iho i ngā kaiwaitohu ____ e waitohu ngātahi ai
At least ____ signatories must sign together
- ☐ Tētahi atu (tēnā tuhia mai ngā taipitopito)
Other (please provide details)

Kia mōhio mai mēnā he panoni ki te pūkete pēke tapa, ka katia rānei te huinga haumitanga, ka ara mai he herenga kia waitohu ngā kaiwaitohu katoa.
Please note that changes to the nominated bank account or portfolio closure will require all signatories to sign.

Ka taea te panoni i te tohutohu waitohu i ngā wā katoa mā te tuku ki te Kaiwhakahaere he pānui kua tuhia, ā, kua waitohua e ngā kaiwaitohu katoa.
The signing instruction can be changed at any time by providing the Manager with notice in writing which is signed by all signatories.

Tauākī Kaitohutohu Ahumoni (mēnā e hāngai ana) | Financial Adviser’s Declaration (if applicable)

☐ Kua tūtaki au ki te/ngā tāngata takitahi, ā, kua kohikohi i te tārua o t/ana uruwhenua Aotearoa onāianeī, raihana taraiwa o Aotearoa rānei, ā, ka whakatūturu au e pono ana te āhua o te/ngā tāngata kua whakaingoatia.
I have met with the above individual(s) and have collected a copy of their current NZ passport or NZ driver’s licence and confirm it represents the true likeness of named individual(s).

Ingoa o te Kaitohutohu Ahumoni
Financial Adviser’s Name

Tau Umanga o te Kaitohutohu Ahumoni
Financial Adviser’s Agency Number

Waitohu o te Kaitohutohu Ahumoni
Financial Adviser’s Signature

Te Rā
Date

Puka Tono | Application Form

Kaihaumi Tiakitanga, Pānga Rawa rānei
Trust or Estate Investors



(Tohua te pouaka tika) | (Please tick appropriate box)

- ☐ He tono timatanga tēnei
This is an initial application
- ☐ He whakangao whaimuri tēnei (whakaurua tō tau kaihaumi i roto i ngā pouaka e whakaratoa ana)
This is a subsequent investment (please insert your investor number in the boxes provided)

MĀ TE TARI ANAKE | OFFICE USE ONLY

Te Rā | Date

CFM

TAU KAIHAUMI | INVESTOR NUMBER

Ngā Taipitopito Kaihaumi | Investor Details

Ingoa Hinonga | Entity Name

Wāhi Noho Hinonga | Entity Address

Tūmomo Hinonga:
Entity Type:

☐ Tiakitanga Whānau
Family Trust

☐ Tiakitanga Arowhānui
Standard Trust

☐ Tiakitanga Aroha
Charitable Trust

☐ Pānga rawa
Estate

Mana whakahaere o te Whakahaerenga | Jurisdiction of Establishment

Kua rēhita te hinonga?
Is the entity registered?

☐ Āe
Yes

☐ Kāo
No

Mēnā āe, homai te tau rēhita:
If so, please provide registration number:

Tau IRD | IRD Number

Pāpātanga Kaihaumi Kōpaki (PIR) | Portfolio Investor Rate (PIR):

☐ 0%

☐ 10.5%

☐ 17.5%

☐ 28%

(tīpakohia tētahi - ki te kore tētahi e tīpakohia ka hāngai te 28%)
(tick one - if none selected 28% will apply)

Te (ngā) whenua o te Nōhanga Tāke | Country(ies) of Tax Residency

He kainoho tāke te hinonga nō Aotearoa
The entity is a tax resident of New Zealand

☐ Āe
Yes

☐ Kāo
No

He kainoho tāke te hinonga nō (t)ētahi atu whenua
The entity is a tax resident of other country(ies)

☐ Āe
Yes

☐ Kāo
No

Mēnā āe, e hiahia ana ētahi atu taipitopito.
If yes, more details are required.

Mō ia whenua, hāunga Aotearoa, me whakarato koe i tētahi Tau ID Tāke (TIN) te mea ōrite rānei i roto i te ripanga i raro iho. Mēnā kāore e wātea ana te TIN mō taua whenua, whakamahia te take tika A, B, C rānei.

For each country, except New Zealand, you will need to provide a Tax ID Number (TIN) or equivalent in the table below. If a TIN is not available for that country, use the appropriate reason A, B or C.

Take A

Reason A

Kāore te whenua e noho ana te hinonga hei kainoho tāke e tuku ana i te TIN ki ana kainoho

The country where the entity is a tax resident does not issue a TIN to its residents

Take B

Reason B

Kāore i tukuna te TIN ki te hinonga e te whenua noho tāke o te hinonga
(tēnā whakaurua mai te whakamārama he aha i kore ai i tukuna te TIN ki te hinonga i roto i te tūtohi i te whārangi i muri mai)

The entity has not been issued a TIN by my country of tax residence
(please include an explanation as to why a TIN was not issued to the entity in the table overpage)

Take C

Reason C

Kāore e hiahia ana e te ture ā-motu o te whenua e noho ana te hinonga hei kainoho tāke te kohinga o te TIN

The domestic law of the country where the entity is a tax resident does not require the collection of a TIN

Ngā Taipitopito Kaihaumi haere tonu | Investor Details continued

Te whenua o te Nōhanga Tāke	Te Tau IRD Tāke (TIN)	Whakaurua Take A, B, C rānei ki te kore e wātea ana te TIN	Mēnā kua tīpako koe i te Take B whakaratoa tētahi whakamārama
Country of Tax Residency	Tax ID Number (TIN)	Enter Reason A, B, or C if no TIN is available	If you have selected Reason B please provide an explanation

Whakarōpūtanga Hinonga | Entity Classification

Mō ētahi atu mōhiohio, tēnā tirohia te pae tukutuku a te OECD, te IRD, kōrero rānei ki tō kaitohutohu tāke.
For more information, please refer to the OECD website, the IRD or consult your tax adviser.

P1. He Rōpū Ahumoni te hinonga? Mēnā kāore, haere ki te P2.

Q1. Is the entity a Financial Institution? If no, go to Q2.

☐ Kāore, ehara te hinonga i te Rōpū Ahumoni.
No, the entity is not a Financial Institution.

☐ Āe, he Rōpū Ahumoni te hinonga.
Yes, the entity is a Financial Institution

Tēnā tīpakohia ko tēhea tūmomo Rōpū Ahumoni mai i ngā kōwhiringa i raro iho:
Please select which type of Financial Institution from the options below:

☐ He Rōpū Penapena te hinonga
The entity is a Depository Institution

☐ He Rōpū Tiaki te hinonga
The entity is a Custodial Institution

☐ He Kamupene Inihua Tauwhāiti te hinonga
The entity is a Specified Insurance Company

☐ He Hinonga Haumi te hinonga
- E taurimahia ana te Hinonga Haumi e tētahi atu Rōpū Ahumoni?
The entity is an Investment Entity
- Is the Investment Entity managed by another Financial Institution?

☐ Āe ☐ Kāo
☐ Yes ☐ No

Mēnā he Tau Tautuhi Waenga ā-Ao (GIIN) tō te Rōpū Ahumoni, tēnā homai i raro iho.
If the Financial Institution has a Global Intermediary Identification Number (GIIN) please provide it below.

Ki te kore koe e whai ana i te GIIN, tēnā whakamāramahia te take:
If you do not have a GIIN please indicate the reason:

☐ He Rōpū Ahumoni Tautuku Mōhio te hinonga
The entity is a Deemed Compliant Financial Institution

☐ Kaiwhiwhi Painga Awere
The entity is an Exempt Beneficial Owner

☐ Tētahi atu (tēnā homai ētahi atu taipitopito)
Other (please provide more detail):

Ngā Taipitopito Kaihaumi haere tonu | Investor Details continued

P2. Ko te mahi pakihi matua o te hinonga he hoko rawa, ratonga rānei, he hinonga kore huamoni rānei?

Q2. Is the entity's primary business activity selling goods or services or is it a non-profit entity?

Tipakohia 'Āe' i raro iho mēnā: | Select 'Yes' below if either:

- Ka whiwhi, ka whakamahere rānei ki te whiwhi rānei i te 50 ōrau, neke atu rānei o ana whiwhinga pūtea i ngā mahi hokohoko; ā neke atu i te 50 ōrau o ngā rawa o te hinonga e whakaputa ana, ka puritia rānei ki te whakaputa, i te whiwhinga pūtea hokohoko; **tērā rānei**
- He hinonga huamoni kore te hinonga, ā, ka aweretia i te tāke whiwhinga pūtea i roto i tana ake whenua/takiwā ture noho rānei.
- The entity earns or intends to earn 50% or more of its total income from trading activities; **and** 50% or more of the entity's assets produce or are held for producing trading income; **OR**
- The entity is a non-profit entity and exempt from income tax in its country/jurisdiction of residence.

☐ Āe
Yes☐ Kāo
No

He aha te āhuatanga me te kaupapa o te haumi, hei tauira, kia whakaputa moni whiwhi, hua haupū rawa, penapena tāoki rānei?

What is the nature and purpose of the investment, for example, income generation, capital gain or retirement savings?

Mātāpuna Tahua/Whairawa | Source of Funds/Wealth

Tēnā whakamōhio mai he aha te mātāpuna tuatahi o ngā tahua/whairawa e haumi ana koe ki a mātou.

Please tell us the original source of the funds/wealth you are investing with us.

☐ Hokonga rawa
Property sale☐ Pehana/Tukunga iho
Gift/Inheritance☐ Mahi pakihi
Business activity☐ Penapena whakatōpū
Accumulated savings☐ Whiwhinga pūtea tiakitanga
Personal income☐ Tētahi atu (whakamārama i raro iho)
Other (describe below)

Tēnā homai ngā taipitopito tae atu ki ngā rā me ngā rahinga. Hei tauira, te hokonga o te kāinga ā-whānau i te wāhitau mō te utu i runga i te rā.
Please provide details including dates and amounts. For example, sale of family home at address for amount on date.

Me whakarato hāpono koe mō te pūtake o ngā pūtea/whairawa. Kia mōhio mai koe ka tono pea mātou mō ētahi atu mōhiohio hei tautoko i tō tono.
You will need to provide proof of the source of funds/wealth. Note we may ask for additional information to support your application.

I ngā marama 12 kua pahure ake nei, kua whai tūnga tūmatanui hira i tētahi whenua i tāwāhi tētahi tangata takitahi e honoa ana ki te kaihaumi, tētahi mema ake o te whānau rānei? Hei tauira, he tūnga teitei hei kaiwhakawā, hei kaingārahu rānei, hei minita, hei takawaenga, hei tumuaki rānei o tētahi hinonga ka whakahaeretia e te kāwanatanga, nō te kāwanatanga rānei.

In the last 12 months, has any individual associated with the investor, or an immediate family member, held a prominent public position in any overseas country? For example, high level judicial or military role, ministerial role, diplomat role or senior executive of a state run/owned enterprise.

☐ Kāo
No☐ Āe (mēnā "Āe", whakamāramahia i raro iho)
Yes (if "yes", please specify)

Ngā Taipitopito Whakapā | Contact Details

Tangata Whakapā Matua (mō ngā uiui whānui) | Primary Contact Person (for general enquiries)

Waea Awatea | Daytime Phone

Waea Pūkoro | Mobile Phone

Wāhitau Īmēra | Email Address

☐

Mēnā e whaimana ana tēnei tangata ki te tuku tohutohu, ā, ehara i te kaihaumi tēnā whakaotia te whārangi 41, wāhanga Tangata Whaimana.

If this person is authorised to give instructions, and is not an investor please complete page 41, Authorised Person section.

Ngā Taipitopito Tangata Takitahi | Individual Details

Me whakaoti tēnei wāhanga e ngā kaitiaki, ngā kaitohutohu, ngā kaikōrero, nga hunga whai wāhi rānei kua whakaingoatia ki te Tiakitanga Whirinaki kua whiwhi i tētahi tuaritanga.
This section must be completed by all trustees, executors, settlors or beneficiaries named in the Trust Deed who have received a distribution.

Taitara | Title Ingoa Tuatahi | First Name

Ingoa Whānau | Surname

Rā Whānau | Date of Birth

Hononga ki te Hinonga | Relationship to the Entity

Wāhi Noho | Physical Address

Wāhitau Īmēra | Email Address

Tau Waea | Phone Number

Mahi | Occupation

Kaitukumahi | Employer

Te (ngā) whenua o te kirirarau/whenua ake, tautuhia i raro iho | Country(ies) of citizenship/nationality, please specify below

Te (ngā) whenua o te Nōhanga Tāke | Country(ies) of Tax Residency

He kainoho tāke nō Aotearoa au ☐ Āe ☐ Kāo
I am a tax resident of New Zealand Yes No

He kainoho tāke nō (t)ētahi atu whenua ☐ Āe ☐ Kāo Mēnā āe, e hiahiatia ana ētahi atu taipitopito.
I am a tax resident of other country(ies) Yes No If yes, more details are required.

Mō ia whenua, hāunga Aotearoa, me whakarato koe i tētahi Tau ID Tāke (TIN) te mea ōrite rānei i roto i te tūtohi i raro iho. Mēnā kāore e wātea ana te TIN mō taua whenua, whakamahia te take tika A, B, C rānei.
For each country, except New Zealand, you will need to provide a Tax ID Number (TIN) or equivalent in the table below. If a TIN is not available for that country, use the appropriate reason A, B or C.

- Take A Kāore te whenua e noho ana au hei kainoho tāke e tuku ana i te TIN ki ana kainoho
Reason A The country where I am a tax resident does not issue a TIN to its residents
- Take B Kāore i tukuna te TIN ki a au e te whenua e noho ana au hei kainoho tāke (tēnā whakaurua mai te whakamārama he aha i kore ai i tukuna ki a koe i roto i te tūtohi i raro iho)
Reason B I have not been issued a TIN by my country of tax residence (please include an explanation as to why a TIN was not issued to you in the table below)
- Take C Kāore e hiahiatia ana e te ture ā-motu o te whenua e noho ana au hei kainoho tāke te kohinga o te TIN
Reason C The domestic law of the country where I am a tax resident does not require the collection of a TIN

Te whenua o te Nōhanga Tāke	Te Tau IRD Tāke (TIN)	Whakaurua Take A, B, C rānei ki te kore e wātea ana te TIN	Mēnā kua tipako koe i te Take B whakaratoa tētahi whakamārama
Country of Tax Residency	Tax ID Number (TIN)	Enter Reason A, B, or C if no TIN is available	If you have selected Reason B please provide an explanation

Ngā Taipitopito Tangata Takitahi | Individual Details

Taitara | Title

Ingoa Tuatahi | First Name

Ingoa Whānau | Surname

Rā Whānau | Date of Birth

Hononga ki te Hinonga | Relationship to the Entity

Wāhi Noho | Physical Address

Wāhitau Īmēra | Email Address

Tau Waea | Phone Number

Mahi | Occupation

Kaitukumahi | Employer

Te (ngā) whenua o te kirirarau/whenua ake, tautuhia i raro iho | Country(ies) of citizenship/nationality, please specify below

Te (ngā) whenua o te Nōhanga Tāke | Country(ies) of Tax Residency

He kainoho tāke nō Aotearoa au
I am a tax resident of New Zealand

☐ Āe
Yes

☐ Kāo
No

He kainoho tāke nō (t)ētahi atu whenua
I am a tax resident of other country(ies)

☐ Āe
Yes

☐ Kāo
No

Mēnā āe, e hiahiatia ana ētahi atu taipitopito.
If yes, more details are required.

Mō ia whenua, hāunga Aotearoa, me whakarato koe i tētahi Tau ID Tāke (TIN) te mea ōrite rānei i roto i te tūtohi i raro iho. Mēnā kāore e wātea ana te TIN mō taua whenua, whakamahia te take tika A, B, C rānei.

For each country, except New Zealand, you will need to provide a Tax ID Number (TIN) or equivalent in the table below. If a TIN is not available for that country, use the appropriate reason A, B or C.

- Take A

Reason A

Kāore te whenua e noho ana au hei kainoho tāke e tuku ana i te TIN ki ana kainoho

The country where I am a tax resident does not issue a TIN to its residents
- Take B

Reason B

Kāore i tukuna te TIN ki a au e te whenua e noho ana au hei kainoho tāke

(tēnā whakaurua mai te whakamārama he aha i kore ai i tukuna ki a koe i roto i te tūtohi i raro iho)

I have not been issued a TIN by my country of tax residence

(please include an explanation as to why a TIN was not issued to you in the table below)
- Take C

Reason C

Kāore e hiahiatia ana e te ture ā-motu o te whenua e noho ana au hei kainoho tāke te kohinga o te TIN

The domestic law of the country where I am a tax resident does not require the collection of a TIN

Te whenua o te Nōhanga Tāke	Te Tau IRD Tāke (TIN)	Whakaurua Take A, B, C rānei ki te kore e wātea ana te TIN	Mēnā kua tīpako koe i te Take B whakaratoa tētahi whakamārama
Country of Tax Residency	Tax ID Number (TIN)	Enter Reason A, B, or C if no TIN is available	If you have selected Reason B please provide an explanation

Ngā Taipitopito Tangata Takitahi | Individual Details

Taitara | Title

Ingoa Tuatahi | First Name

Ingoa Whānau | Surname

Rā Whānau | Date of Birth

Hononga ki te Hinonga | Relationship to the Entity

Wāhi Noho | Physical Address

Wāhitau Īmēra | Email Address

Tau Waea | Phone Number

Mahi | Occupation

Kaitukumahi | Employer

Te (ngā) whenua o te kirirarau/whenua ake, tautuhia i raro iho | Country(ies) of citizenship/nationality, please specify below

Te (ngā) whenua o te Nōhanga Tāke | Country(ies) of Tax Residency

He kainoho tāke nō Aotearoa au
I am a tax resident of New Zealand

☐ Āe
Yes

☐ Kāo
No

He kainoho tāke nō (t)ētahi atu whenua
I am a tax resident of other country(ies)

☐ Āe
Yes

☐ Kāo
No

Mēnā āe, e hiahiatia ana ētahi atu taipitopito.
If yes, more details are required.

Mō ia whenua, hāunga Aotearoa, me whakarato koe i tētahi Tau ID Tāke (TIN) te mea ōrite rānei i roto i te tūtohi i raro iho. Mēnā kāore e wātea ana te TIN mō taua whenua, whakamahia te take tika A, B, C rānei.

For each country, except New Zealand, you will need to provide a Tax ID Number (TIN) or equivalent in the table below. If a TIN is not available for that country, use the appropriate reason A, B or C.

- Take A

Kāore te whenua e noho ana au hei kainoho tāke e tuku ana i te TIN ki ana kainoho

Reason A

The country where I am a tax resident does not issue a TIN to its residents
- Take B

Kāore i tukuna te TIN ki a au e te whenua e noho ana au hei kainoho tāke

Reason B

I have not been issued a TIN by my country of tax residence
- Take C

Kāore e hiahiatia ana e te ture ā-motu o te whenua e noho ana au hei kainoho tāke te kohinga o te TIN

Reason C

The domestic law of the country where I am a tax resident does not require the collection of a TIN

Te whenua o te Nōhanga Tāke	Te Tau IRD Tāke (TIN)	Whakaurua Take A, B, C rānei ki te kore e wātea ana te TIN	Mēnā kua tīpako koe i te Take B whakaratoa tētahi whakamārama
Country of Tax Residency	Tax ID Number (TIN)	Enter Reason A, B, or C if no TIN is available	If you have selected Reason B please provide an explanation

Ngā Taipitopito Tangata Takitahi | Individual Details

Taitara | Title

Ingoa Tuatahi | First Name

Ingoa Whānau | Surname

Rā Whānau | Date of Birth

Hononga ki te Hinonga | Relationship to the Entity

Wāhi Noho | Physical Address

Wāhitau Īmēra | Email Address

Tau Waea | Phone Number

Mahi | Occupation

Kaitukumahi | Employer

Te (ngā) whenua o te kirirarau/whenua ake, tautuhia i raro iho | Country(ies) of citizenship/nationality, please specify below

Te (ngā) whenua o te Nōhanga Tāke | Country(ies) of Tax Residency

He kainoho tāke nō Aotearoa au
I am a tax resident of New Zealand

☐ Āe
Yes

☐ Kāo
No

He kainoho tāke nō (t)ētahi atu whenua
I am a tax resident of other country(ies)

☐ Āe
Yes

☐ Kāo
No

Mēnā āe, e hiahiatia ana ētahi atu taipitopito.
If yes, more details are required.

Mō ia whenua, hāunga Aotearoa, me whakarato koe i tētahi Tau ID Tāke (TIN) te mea ōrite rānei i roto i te tūtohi i raro iho. Mēnā kāore e wātea ana te TIN mō taua whenua, whakamahia te take tika A, B, C rānei.

For each country, except New Zealand, you will need to provide a Tax ID Number (TIN) or equivalent in the table below. If a TIN is not available for that country, use the appropriate reason A, B or C.

- Take A

Reason A

Kāore te whenua e noho ana au hei kainoho tāke e tuku ana i te TIN ki ana kainoho

The country where I am a tax resident does not issue a TIN to its residents
- Take B

Reason B

Kāore i tukuna te TIN ki a au e te whenua e noho ana au hei kainoho tāke

I have not been issued a TIN by my country of tax residence

(tēnā whakaurua mai te whakamārama he aha i kore ai i tukuna ki a koe i roto i te tūtohi i raro iho)

(please include an explanation as to why a TIN was not issued to you in the table below)
- Take C

Reason C

Kāore e hiahiatia ana e te ture ā-motu o te whenua e noho ana au hei kainoho tāke te kohinga o te TIN

The domestic law of the country where I am a tax resident does not require the collection of a TIN

Te whenua o te Nōhanga Tāke	Te Tau IRD Tāke (TIN)	Whakaurua Take A, B, C rānei ki te kore e wātea ana te TIN	Mēnā kua tīpako koe i te Take B whakaratoa tētahi whakamārama
Country of Tax Residency	Tax ID Number (TIN)	Enter Reason A, B, or C if no TIN is available	If you have selected Reason B please provide an explanation

Tangata Whaimana | Authorised Person

Whakaotia tēnei wāhanga mēnā e hiahia ana koe ki te whakamana i tētahi tangata (ehara i tētahi o ngā tāngata takitahi i whakaingoatia i runga ake) hei tohutohu me te whiwhi mōhiohio māu.

Complete this section if you want to authorise a person (who is not one of the individuals named above) to give instructions and obtain account information on your behalf.

Taitara | Title Ingoa Tuatahi | First Name

Ingoa Whānau | Surname

Rā Whānau | Date of Birth

Hononga ki te Hinonga | Relationship to the Entity

Wāhi Noho | Physical Address

Wāhitau Īmēra | Email Address

Tau Waea | Phone Number

Mahi | Occupation

Kaitukumahi | Employer

Te (ngā) whenua o te kirirarau/whenua ake, tautuhia i raro iho | Country(ies) of citizenship/nationality, please specify below

Te (ngā) whenua o te Nōhanga Tāke | Country(ies) of Tax Residency

He kainoho tāke nō Aotearoa au
I am a tax resident of New Zealand

☐ Āe ☐ Kāo
Yes No

He kainoho tāke nō (t)ētahi atu whenua
I am a tax resident of other country(ies)

☐ Āe ☐ Kāo Mēnā āe, e hiahiatia ana ētahi atu taipitopito.
Yes No If yes, more details are required.

Mō ia whenua, hāunga Aotearoa, me whakarato koe i tētahi Tau ID Tāke (TIN) te mea ōrite rānei i roto i te tūtohi i raro iho. Mēnā kāore e wātea ana te TIN mō taua whenua, whakamahia te take tika A, B, C rānei.

For each country, except New Zealand, you will need to provide a Tax ID Number (TIN) or equivalent in the table below. If a TIN is not available for that country, use the appropriate reason A, B or C.

- Take A

Kāore te whenua e noho ana au hei kainoho tāke e tuku ana i te TIN ki ana kainoho

Reason A

The country where I am a tax resident does not issue a TIN to its residents
- Take B

Kāore i tukuna te TIN ki a au e te whenua e noho ana au hei kainoho tāke (tēnā whakaurua mai te whakamārama he aha i kore ai i tukuna ki a koe i roto i te tūtohi i raro iho)

Reason B

I have not been issued a TIN by my country of tax residence (please include an explanation as to why a TIN was not issued to you in the table below)
- Take C

Kāore e hiahiatia ana e te ture ā-motu o te whenua e noho ana au hei kainoho tāke te kohinga o te TIN

Reason C

The domestic law of the country where I am a tax resident does not require the collection of a TIN

Te whenua o te Nōhanga Tāke	Te Tau IRD Tāke (TIN)	Whakaurua Take A, B, C rānei ki te kore e wātea ana te TIN	Mēnā kua tipako koe i te Take B whakaratoa tētahi whakamārama
Country of Tax Residency	Tax ID Number (TIN)	Enter Reason A, B, or C if no TIN is available	If you have selected Reason B please provide an explanation

Ngā taipitopito haumi | Investment details

E hiahia ana au ki te haumi i roto i te (ngā) Tahua e whai ake nei:
I wish to invest in the following Fund:

	Rahinga Haumi* Investment Amount*	
TAHITO Te Tai o Rehua Fund	\$	☐ Moni Taurewa Tōtika Direct Credit
TŌPŪTANGA TOTAL	\$	

* Ko te haumi tuatahi mōkito ko te \$1,000. Ko te Haumi Whaimuri mōkito ko te \$500.
* Initial investment minimum is \$1,000. Subsequent Investment minimum is \$500.

Utunga | Payment

☐	Moni Taurewa Tōtika (Tēnā penapena i ngā utu moni taurewa tōtika ki te pūkete BNZ e whai ake nei: 02-0108-0567359-00 i roto i te ingoa o The New Zealand Guardian Trust Company Limited ASF Clarity Funds me te tohutoro me tō tau Haumi)
☐ Direct credit	(Please deposit direct credit payments in the following BNZ account: 02-0108-0567359-00 in the name of The New Zealand Guardian Trust Company Limited ASF Clarity Funds and reference with your Investor number)

Pūkete Pēke | Bank Account

Ka whakamahia tēnei pūkete pēke hei whakahokinga.
This bank account will be used for redemptions.

Ingoa Pūkete Account Name	Pēke Bank

(Me whai te pūkete pēke i te ingoa o te Kaihaumi / Hinonga rānei) | (The bank account must be in the Investor's / Entity name)

Peka Branch	Tau Pūkete Account Number
	PĒKE BANK PEKA BRANCH PŪKETE ACCOUNT PĪMURI SUFFIX

Te Ture Matatapu

Ka hāngai tēnei tauākī ki ngā mōhiohio matawhaiaro e homai ana koe ki te Kaiwhakahaere mā tō tono me ētahi mōhiohio matawhaiaro ka homai koe ā muri ake.

Ka whakamahia hoki ngā mōhiohio matawhaiaro kua homai koe e te Kaiwhakahaere me te Kaitiro (me ngā hinonga tūhono e kōrerotia ana i roto i te Wāhanga 7) hei whakamana i te Kaiwhakarite ki te whakarite me te whakahaere i tō haumi, me te whakapā atu ki a koe e pā ana ki tō haumi. Ka whakarato te kaiwhakahaere ki a koe (ina tono koe) ki te ingoa me te wāhitau o te hinonga i whākina ai ngā mōhiohio. Ka tuari hoki pea te kaiwhakahaere i ō mōhiohio ki ngā tari kāwanatanga mēnā ka tonoa. He motika tōu kia āhei ki ngā mōhiohio katoa e whiwhia ana mōu e te Kaiwhakahaere, ā, mēnā e hē ana ētahi o ngā mōhiohio, te motika kia whakatikatikahia.

E tūtohu ana koe e whaimana ana koe ki te homai i ngā mōhiohio matawhaiaro mō te kaitono, ā, e whakaratoa ana te taunakitanga o tēnei mana (mēnā ko tētahi matua/ kaitiaki/tētahi atu e whakarato ana i ngā mōhiohio mō te kaitono).

E whakaae ana koe ka āhei a Clarity Funds Management Limited te whakamahi i tō ingoa /ia ingoa me te wāhitau hei whakarato i a koe ki ngā kawerongo me ētahi atu mōhiohio mō te (ngā) Tahua me ētahi atu otinga, ratonga rānei.

Tauākī

Kua pānui au/mātou me te pupuri i te tārua o te Tauākī Whāki Otinga me te rā 21 Hōngongoi 2023, ā, e whakaae ana kia herea e ngā tikanga me ngā herenga o te Titi Tiakitanga me ngā Titi Whakatū Hāngai (i runga anō i te menemanatanga i ia wā).

Ka whakaae au/mātou ki ngā tikanga i whakahuatia i runga ake e pā ana ki te Ture Matatapu me te whakaratonga o ngā mōhiohio matawhaiaro.

E mārama ana au/mātou he waka a TAHITO Te Tai o Rehua Fund mō te haumi wā roa; ka mānenei pea taku/tā mātou haumi, ā, ka piki, ka heke i ētahi wā.

E mārama ana au/mātou ki te āhua e tangohia ai ngā utu i taku/tā mātou haumi.

E tūtohu ana au/mātou me whāki pea a Clarity i ngā mōhiohio mōku/mō mātou me aku/ā mātou haumi ki ngā rōpū kē, mēnā he herenga ā-ture ki te whāki, tae atu ki ngā ture o ētahi atu takiwā ture, hei tauira ki ngā mana kāwanatanga, kaiwhakarite rānei.

E whakaae ana au/mātou ki te paremata i a Clarity mō tētahi ngarohanga moni ka pā mai hei hua nō taku/tā mātou whakarato i ngā mōhiohio hē, hukihuki rānei.

E whakaae ana au/mātou kāore e noho haepapa ai a Clarity ki a au/mātou, tētahi atu rōpū kē rānei mō te whakahē ki te hātepe, te takaroa rānei ki te hātepe i tētahi kurutete māku/mā mātou, i te whakatārewahia o taku/tā mātou pūkete e Clarity i raro i ngā ture whakahē horoi pūtea me te ārai i te tuku pūtea ki ngā kaiwhakatuma.

E whakaae ana au/mātou ki te whakamōhio wawe atu ki Clarity Funds Management mēnā ka rerekē taku/tā mātou nōhanga tāke/ taku/tā mātou Pāpātanga Haumi Tūtohu rānei.

E whakaae ana au/mātou kia whakamōhio wawe ki a Clarity mēnā e noho ana tētahi tangata e tata ana ki a au/mātou, tētahi mema o te whānau rānei i te tūnga tūmatanui hira, kāore i mau i mua, i tētahi whenua i tāwāhi.

Mō te kaupapa whakatūturu i taku tuakiri, e whakaae ana au kia whakamahia ngā mōhiohio matawhaiaro kua whakarato au (ā, mēnā e hiahia ana, te whāki) ki ngā rōpū e whai ake nei:(1) te NZTA hei tiroiro i te pātengi raraunga o MOTO; (2) te Tari Taiwhenua hei tiroiro i te pātengi raraunga uruuruwhenua; 3) Land Information New Zealand; (4) Te Tari Kamupene; (5) Centrix Group Limited me APLY Limited (ā, e whakamana ana au i a Centrix me APLY ki te whakamahi i ētahi mōhiohio e whai ana rātou i roto i tō rātou tari pūrongorongono moni taurewa mōku hei whakataurite ki ngā mōhiohio kua whakarato au; me (6) the White Pages.

Waitohu o te Kaitiaki, Kaiwaitohu whaimana rānei

Waitohu o te Kaitiaki, Kaiwaitohu whaimana rānei

Waitohu o te Kaitiaki, Kaiwaitohu whaimana rānei

Waitohu o te Kaitiaki, Kaiwaitohu whaimana rānei

Te Rā

Te Rā

Te Rā

Te Rā

The Privacy Act

This statement relates to the personal information that you are providing to the Manager by way of the application and any subsequent personal information which you may provide in the future.

The personal information you have supplied may be used by the Manager and the Supervisor (and related entities detailed in Section 7) for the purposes of enabling the Manager to arrange and manage your investment, and to contact you in relation to your investment. The manager will provide you (on request) with the name and address of any entity to which information has been disclosed. The manager may also share your information with government agencies if requested. You have the right to access all personal information held about you by the Manager and if any of the information is incorrect, you have the right to have it corrected.

You acknowledge that you are authorised to provide personal information on behalf of the applicant and evidence of this authority is provided (in the case of a parent/guardian/other providing information about the applicant).

You agree that your/each of your names and addresses may be used by Clarity Funds Management Limited to provide you with newsletters and other information about the Fund(s) and other products and services.

Declaration

I/We have read and retained a copy of the **current** Product Disclosure Statement and agree to be bound by the terms and con-ditions of the Trust Deed and relevant Establishment Deeds (as amended from time to time).

I/We agree to the terms outlined above in relation to the Privacy Act and the supply of personal information.

I/We understand that the TAHITO Te Tai o Rehua Fund is a vehicle for long-term investment; the value of my/our investment is liable to fluctuations and may rise and fall from time to time.

I/We understand the manner in which the fees will be deducted from my/our investment.

I/we acknowledge that Clarity may be required to disclose information about me/us and my/our investments to third parties, if disclosure is required by law, including laws of other jurisdictions, for example to government and regulatory authorities.

I/We agree to indemnify Clarity against any loss it may suffer as a result of me/us providing incorrect or incomplete information.

I/We agree that Clarity will not be liable to me/us or any other third party for any refusal to process, or delay in, processing any transaction on my/our behalf or a suspension of my/our account by Clarity in accordance with the anti-money laundering and countering financing of terrorism laws.

I/We agree to advise Clarity Funds Management immediately if my/our tax residency changes, or my/our Prescribed Investor Rate changes.

I/We agree to advise Clarity immediately if any individual associated with myself/us or any immediate family member holds a prominent public position, not previously held, in any overseas country.

For the purpose of verifying my identity, I consent to the personal information that I have provided being used with (and, where necessary, disclosed to) the following sources: (1) the NZTA for the purpose of checking the MOTO database; (2) the Department of Internal Affairs for the purpose of checking the Passport database; (3) Land Information New Zealand; (4) the Companies Office; (5) Centrix Group Limited and APLY Limited (and I authorise Centrix and APLY to use any information that they hold in their credit reporting bureau about me to compare the information that I have provided); and (6) the White Pages.

Signature of Trustee or Executor or Authorised Signatory

Date

Signature of Trustee or Executor or Authorised Signatory

Date

Signature of Trustee or Executor or Authorised Signatoryy

Date

Signature of Trustee or Executor or Authorised Signatory

Date

Tohutohu waitohu | Signing Instruction

E whakatakoto ana te tohutohu waitohu me pēhea e whakahaeretia ai tēnei pūkete e ngā kaiwaitohu e pā ana ki ngā kurutete o ia rā me ngā tohutohu whānui. Tēnā, kōwhiria tētahi o ngā kōwhiringa e whai ake nei:

The signing instruction sets out how signatories can operate this account with respect to daily transactions and general instructions. Please select one of the following options:

- ☐ Me waitohu ngātahi ngā kaiwaitohu katoa
All signatories must sign together
- ☐ Ka taea e te kaiwaitohu kotahi te waitohu
Any one signatory can sign
- ☐ Kaua e iti iho i ngā kaiwaitohu ____ e waitohu ngātahi ai
At least ____ signatories must sign together
- ☐ Tētahi atu (tēnā tuhia mai ngā taipitopito)
Other (please provide details)

Kia mōhio mai mēnā he panoni ki te pūkete pēke tapa, ka katia rānei te huinga haumitanga, ka ara mai he herenga kia waitohu ngā kaiwaitohu katoa.

Please note that changes to the nominated bank account or portfolio closure will require all signatories to sign.

Ka taea te panoni i te tohutohu waitohu i ngā wā katoa mā te tuku ki te Kaiwhakahaere he pānui kua tuhia, ā, kua waitohua e ngā kaiwaitohu katoa.

The signing instruction can be changed at any time by providing the Manager with notice in writing which is signed by all signatories.

Tauākī Kaitohutohu Ahumoni (mēnā e hāngai ana) | Financial Adviser’s Declaration (if applicable)

- ☐ Kua tūtaki au ki te/ngā tāngata takitahi, ā, kua kohikohi i te tārua o t/ana uruwhenua Aotearoa onāiane, raihana taraiwa o Aotearoa rānei, ā, ka whakatūturu au e pono ana te āhua o te/ngā tāngata kua whakaingoatia.
I have met with the above individual(s) and have collected a copy of their current NZ passport or NZ driver’s licence and confirm it represents the true likeness of named individual(s).

Ingoa o te Kaitohutohu Ahumoni
Financial Adviser’s Name

Tau Umanga o te Kaitohutohu Ahumoni
Financial Adviser’s Agency Number

Waitohu o te Kaitohutohu Ahumoni
Financial Adviser’s Signature

Te Rā
Date

(Tohua te pouaka tika) | (Please tick appropriate box)

☐ He tono tīmatanga tēnei
This is an initial application

☐ He whakangao whaimuri tēnei (whakaurua tō tau kaihaumi i roto i ngā pouaka e whakaratoa ana)
This is a subsequent investment (please insert your investor number in the boxes provided)

MĀ TE TARI ANAKE | OFFICE USE ONLY

Te Rā | Date

CFM

TAU KAIHAUMI | INVESTOR NUMBER

Tūmomo Kaihaumi | Investor Type

☐ Kamupene
Company

☐ Rangapū Kē
Partnership Other

☐ Tētahi atu Hinonga Manatōpū Kore, Rōpū Manatōpū Kore rānei
Unincorporated Entity or Body

☐ Kaupapa Penihana
Superannuation Scheme

☐ Tētahi atu Hinonga Manatōpū Kore, Rōpū Manatōpū Kore rānei
Other Incorporated Entity or Body

Ngā Taipitopito Kaihaumi | Investor Details

Ingoa Hinonga | Entity Name

Wāhinoho Rēhita | Registered Address

Tūmomo Hinonga:
Entity Type

☐ Kamupene Tūmataiti
Company Private

☐ Kamupene Tūmatanui
Company Public

☐ Kamupene Rōpū Kāwanatanga
Company Government Body

Whenua Rēhita | Country of Registration

Kua rēhita te hinonga?
Is the entity registered?

☐ Āe
Yes

☐ Kāo
No

Mēnā āe, homai te tau rēhita:
If so, please provide registration number:

E mahi ana te hinonga hei kaupapa aroha?
Is the entity operating as a charity?

☐ Āe
Yes

☐ Kāo
No

He momo pupuri rawa matawhaiaro rānei te hinonga?
Is the entity a vehicle for holding personal assets?

☐ Āe
Yes

☐ Kāo
No

He kaiwhakahaere tautapa, he kaipupuri hea rānei kua whakaingoatia ō te kamupene?
Does the company have any nominee directors or shareholders?

☐ Āe
Yes

☐ Kāo
No

Ka tuku rānei te kamupene i ngā hea utu i te kaipupuri?
Does the company issue shares in bearer form?

☐ Āe
Yes

☐ Kāo
No

Ngā Taipitopito Kaihaumi haere tonu | Investor Details continued

He aha te āhuatanga me te kaupapa o te haumi, hei tauira, kia whakaputa moni whiwhi, hua haupū rawa, penapena tāoki rānei?
What is the nature and purpose of the investment, for example, income generation, capital gain or retirement savings?

Mātāpuna Tahua/Whairawa | Source of Funds/Wealth

Tēnā whakamōhio mai he aha te mātāpuna tuatahi o ngā tahua/whairawa e haumi ana koe ki a mātou.
Please tell us the original source of the funds/wealth you are investing with us.

- ☐ He hoko whenua
Property sale
- ☐ Perehana/Tukunga iho
Gift/Inheritance
- ☐ Mahi pakihi
Business activity
- ☐ He tōpūtanga moni penapena
Accumulated savings
- ☐ Tētahi atu (whakaahuatia i raro iho)
Other (describe below)

Tēnā homai ngā taipitopito tae atu ki ngā rā me ngā rahinga. Hei tauira, ko ngā putanga pakihi o tēnei rahi nō te hoko o te tuari i tēnei rā.
Please provide details including dates and amounts. For example, business proceeds of amount from the sale of distribution on date.

Kia mōhio mai, ka hiahia pea mātou ki te hāpono, ki ētahi atu mōhiohia pea hei tautoko i tō tono.
Note, we may need proof or additional information to support your application.

I ngā marama 12 kua pahure ake nei, kua whai tūnga tūmatanui hira i tētahi whenua i tāwāhi tētahi tangata takitahi e honoa ana ki te kaihaumi, tētahi mema ake o te whānau rānei? Hei tauira, he tūnga teitei hei kaiwhakawā, hei kaingārahu rānei, hei minita, hei takawaenga, hei tumuaki rānei o tētahi hinonga ka whakahaeretia e te kāwanatanga, nō te kāwanatanga rānei.
In the last 12 months, has any individual associated with the investor, or an immediate family member, held a prominent public position in any overseas country? For example, high level judicial or military role, ministerial role, diplomat role or senior executive of a state run/ owned enterprise.

- ☐ Kāo
No
- ☐ Āe (mēnā “Āe”, whakamāramahia i raro iho)
Yes (if “yes”, please specify)

Tau IRD | IRD Number

Pāpātanga Kaihaumi Kōpaki (PIR) | Portfolio Investor Rate (PIR): ☐ 0% ☐ 10.5% ☐ 17.5% ☐ 28%
(tīpakohia tētahi - ki te kore tētahi e tīpakohia ka hāngai te 28%)
(tick one - if none selected 28% will apply)

He kainoho tāke te hinonga nō (t)ētahi atu whenua | Country(ies) of Tax Residency

- He kainoho tāke te hinonga nō Aotearoa
The entity is a tax resident of New Zealand

☐ Āe
Yes

☐ Kāo
No
- He kainoho tāke te hinonga nō (t)ētahi atu whenua
The entity is a tax resident of other country(ies)

☐ Āe
Yes

☐ Kāo
No

Mēnā āe, ka hiahiatia ētahi atu taipitopito.
If yes, more details are required.

Ngā Taipitopito Kaihaumi haere tonu | Investor Details continued

Mō ia whenua, hāunga Aotearoa, me whakarato koe i tētahi Tau ID Tāke (TIN) te mea ōrite rānei i roto i te ripanga i raro iho. Mēnā kāore e wātea ana te TIN mō taua whenua, whakamahia te take tika A, B, C rānei.

For each country, except New Zealand, you will need to provide a Tax ID Number (TIN) or equivalent in the table below. If a TIN is not available for that country, use the appropriate reason A, B or C.

- Take A

Kāore te whenua e noho ana te hinonga hei kainoho tāke e tuku ana i te TIN ki ana kainoho

Reason A

The country where the entity is a tax resident does not issue a TIN to its residents
- Take B

Kāore i tukuna he TIN ki te hinonga e te whenua noho tāke o te hinonga
(tēnā whakaurua mai te whakamārama he aha i kore ai e tukuna ki te hinonga i roto i te tūtohi i raro iho)

Reason B

The entity has not been issued a TIN by my country of tax residence
(please include an explanation as to why a TIN was not issued to the entity in the table below)
- Take C

Kāore e hiahiatia ana e te ture ā-motu o te whenua e noho ana te hinonga hei kainoho tāke te kohinga o te TIN

Reason C

The domestic law of the country where the entity is a tax resident does not require the collection of a TIN

Te whenua o te Nōhanga Tāke	Te Tau IRD Tāke (TIN)	Whakaurua Take A, B, C rānei ki te kore e wātea ana te TIN	Mēnā kua tīpako koe i te Take B whakaratoa tētahi whakamārama
Country of Tax Residency	Tax ID Number (TIN)	Enter Reason A, B, or C if no TIN is available	If you have selected Reason B please provide an explanation

Whakarōpūtanga Hinonga | Entity Classification

Mō ētahi atu mōhiohio, tēnā tirohia te pae tukutuku a te OECD, te IRD, kōrero rānei ki tō kaitohutohu tāke.
For more information, please refer to the OECD website, the IRD or consult your tax adviser.

P1. He Rōpū Ahumoni te hinonga? Mēnā kāore, haere ki te P2.
Q1. Is the entity a Financial Institution? If no, go to Q2.

- ☐ Kāore, ehara te hinonga i te Rōpū Ahumoni.
No, the entity is not a Financial Institution.
- ☐ Āe, he Rōpū Ahumoni te hinonga.
Yes, the entity is a Financial Institution

Tēnā tīpakohia ko tēhea tūmomo Rōpū Ahumoni mai i ngā kōwhiringa i raro iho:
Please select which type of Financial Institution from the options below:

- ☐ He Rōpū Penapena te hinonga
The entity is a Depository Institution
- ☐ He Rōpū Tiaki te hinonga
The entity is a Custodial Institution
- ☐ He Kamupene Inihua Tauwhāiti te hinonga
The entity is a Specified Insurance Company
- ☐ He Hinonga Haumi te hinonga - E taurimahia ana rānei te Hinonga Haumi e tētahi atu Rōpū Ahumoni?
The entity is an Investment Entity - Is the Investment Entity managed by another Financial Institution?
- ☐ Āe
Yes
- ☐ Kāo
No

Mēnā he Tau Tautuhi Waenga ā-Ao (GIIN) tō te Rōpū Ahumoni, tēnā homai i raro iho.
If the Financial Institution has a Global Intermediary Identification Number (GIIN) please provide it below.

Ki te kore he GIIN āu, tēnā whakamāramahia te take:
If you do not have a GIIN please indicate the reason:

- ☐ He Rōpū Ahumoni Kua Meinga He Mea Tautuku te hinonga
The entity is a Deemed Compliant Financial Institution
- ☐ Ko te hinonga he Kaiwhiwhi Painga Awere
The entity is an Exempt Beneficial Owner
- ☐ Tētahi atu (tēnā homai ētahi atu taipitopito):
Other (please provide more detail):
-

Ngā Taipitopito Kaihaumi haere tonu | Investor Details continued

P2. Ko te mahi pakihi matua o te hinonga he hoko rawa, ratonga rānei, he hinonga kore huamoni rānei?

Q2. Is the entity's primary business activity selling goods or services or is it a non-profit entity?

Tipakohia 'Āe' i raro iho mēnā: | Select 'Yes' below if either:

- Ka whiwhi te hinonga, ka whakamahere rānei ki te whiwhi i te 50 ōrau, neke atu rānei o ana whiwhinga pūtea i ngā mahi hokohoko; ā, neke atu i te 50 ōrau o ngā rawa o te hinonga e whakaputa ana, ka puritia rānei ki te whakaputa, i te whiwhinga pūtea hokohoko; **tērā rānei**
- He hinonga huamoni kore te hinonga, ā, ka aweretia i te tāke whiwhinga pūtea i roto i tana ake whenua/takiwā ture noho rānei.
- The entity earns or intends to earn 50% or more of its total income from trading activities; **and** 50% or more of the entity's assets produce or are held for producing trading income; **OR**
- The entity is a non-profit entity and exempt from income tax in its country/jurisdiction of residence.

☐ Āe
Yes☐ Kāo
No

Ngā Taipitopito Whakapā | Contact Details

Tangata Whakapā Matua (mō ngā uiui whānui) | Primary Contact Person (for general enquiries)

Waea Awatea | Daytime Phone

Waea Pūkoro | Mobile Phone

Wāhitau Īmēra | Email Address

☐ Mēnā e whaimana ana tēnei tangata ki te tuku tohutohu, ā, ehara i te kaihaumi tēnā whakaotia te whārangi 54, wāhanga Tangata Whaimana.

If this person is authorised to give instructions, and is not an investor please complete page 54, Authorised Person section.

Ngā Taipitopito Tangata Takitahi | Individual Details

Me whakaoti tēnei wāhanga e te katoa o ngā kaiwhakahaere, kaupupuri hea, hoa kaupakihi, āpiha, mema rānei me tētahi atu tangata rānei e whaimana ana ki te tuku tohutohu me te whiwhi mōhiohio pūkete.
This section must be completed by all directors, shareholders, partners, officers or members and any other person authorised to give instructions and obtain account information.

Taitara | Title

Ingoa Tuatahi | First Name

Ingoa Whānau | Surname

Rā Whānau | Date of Birth

Hononga ki te Hinonga | Relationship to the Entity

Wāhi noho | Physical Address

Wāhitau Īmēra | Email Address

Tau Waea | Phone Number

Te (ngā) whenua o te kirirarau/whenua ake, tautuhia i raro iho | Country(ies) of citizenship/nationality, please specify below

Te (ngā) whenua o te Nōhanga Tāke | Country(ies) of Tax Residency

He kainoho tāke nō Aotearoa au
I am a tax resident of New Zealand

☐ Āe
Yes

☐ Kāo
No

He kainoho tāke nō (t)ētahi atu whenua
I am a tax resident of other country(ies)

☐ Āe
Yes

☐ Kāo
No

Mēnā āe, e hiahiatia ana ētahi atu taipitopito.
If yes, more details are required.

Mō ia whenua, hāunga Aotearoa, me whakarato koe i tētahi Tau ID Tāke (TIN) te mea ōrite rānei i roto i te tūtohi i raro iho. Mēnā kāore e wātea ana te TIN mō taua whenua, whakamahia te take tika A, B, C rānei.
For each country, except New Zealand, you will need to provide a Tax ID Number (TIN) or equivalent in the table below. If a TIN is not available for that country, use the appropriate reason A, B or C.

Take A

Kāore te whenua e noho ana au hei kainoho tāke e tuku ana i te TIN ki ana kainoho

Reason A

The country where I am a tax resident does not issue a TIN to its residents

Take B

Kāore i tukuna te TIN ki a au e te whenua e noho ana au hei kainoho tāke (tēnā whakaurua mai te whakamārama he aha i kore ai i tukuna ki a koe i roto i te tūtohi i raro iho)

Reason B

I have not been issued a TIN by my country of tax residence (please include an explanation as to why a TIN was not issued to you in the table below)

Take C

Kāore e hiahiatia ana e te ture ā-motu o te whenua e noho ana au hei kainoho tāke te kohinga o te TIN

Reason C

The domestic law of the country where I am a tax resident does not require the collection of a TIN

Te whenua o te Nōhanga Tāke	Te Tau IRD Tāke (TIN)	Whakaurua Take A, B, C rānei ki te kore e wātea ana te TIN	Mēnā kua tipako koe i te Take B whakaratoa tētahi whakamārama
Country of Tax Residency	Tax ID Number (TIN)	Enter Reason A, B, or C if no TIN is available	If you have selected Reason B please provide an explanation

Ngā Taipitopito Tangata Takitahi | Individual Details

Taitara | Title

Ingoa Tuatahi | First Name

Ingoa Whānau | Surname

Rā Whānau | Date of Birth

Hononga ki te Hinonga | Relationship to the Entity

Wāhi Noho | Physical Address

Wāhitau Īmēra | Email Address

Tau Waea | Phone Number

Te (ngā) whenua o te kirirarau/whenua ake, tautuhia i raro iho | Country(ies) of citizenship/nationality, please specify below

Te (ngā) whenua o te Nōhanga Tāke | Country(ies) of Tax Residency

He kainoho tāke nō Aotearoa au
I am a tax resident of New Zealand

☐ Āe
Yes

☐ Kāo
No

He kainoho tāke nō (t)ētahi atu whenua
I am a tax resident of other country(ies)

☐ Āe
Yes

☐ Kāo
No

Mēnā āe, e hiahiatia ana ētahi atu taipitopito.
If yes, more details are required.

Mō ia whenua, hāunga Aotearoa, me whakarato koe i tētahi Tau ID Tāke (TIN) te mea ōrite rānei i roto i te tūtohi i raro iho. Mēnā kāore e wātea ana te TIN mō taua whenua, whakamahia te take tika A, B, C rānei.

For each country, except New Zealand, you will need to provide a Tax ID Number (TIN) or equivalent in the table below. If a TIN is not available for that country, use the appropriate reason A, B or C.

- Take A

Reason A

Kāore te whenua e noho ana au hei kainoho tāke e tuku ana i te TIN ki ana kainoho

The country where I am a tax resident does not issue a TIN to its residents
- Take B

Reason B

Kāore i tukuna te TIN ki a au e te whenua e noho ana au hei kainoho tāke

(tēnā whakaurua mai te whakamārama he aha i kore ai i tukuna ki a koe i roto i te tūtohi i raro iho)

I have not been issued a TIN by my country of tax residence

(please include an explanation as to why a TIN was not issued to you in the table below)
- Take C

Reason C

Kāore e hiahiatia ana e te ture ā-motu o te whenua e noho ana au hei kainoho tāke te kohinga o te TIN

The domestic law of the country where I am a tax resident does not require the collection of a TIN

Te whenua o te Nōhanga Tāke	Te Tau IRD Tāke (TIN)	Whakaurua Take A, B, C rānei ki te kore e wātea ana te TIN	Mēnā kua tipako koe i te Take B whakaratoa tētahi whakamārama
Country of Tax Residency	Tax ID Number (TIN)	Enter Reason A, B, or C if no TIN is available	If you have selected Reason B please provide an explanation

Ngā Taipitopito Tangata Takitahi | Individual Details

Taitara | Title

Ingoa Tuatahi | First Name

Ingoa Whānau | Surname

Rā Whānau | Date of Birth

Hononga ki te Hinonga | Relationship to the Entity

Wāhi Noho | Physical Address

Wāhitau Īmēra | Email Address

Tau Waea | Phone Number

Te (ngā) whenua o te kirirarau/whenua ake, tautuhia i raro iho | Country(ies) of citizenship/nationality, please specify below

Te (ngā) whenua o te Nōhanga Tāke | Country(ies) of Tax Residency

He kainoho tāke nō Aotearoa au
I am a tax resident of New Zealand

☐ Āe
Yes

☐ Kāo
No

He kainoho tāke nō (t)ētahi atu whenua
I am a tax resident of other country(ies)

☐ Āe
Yes

☐ Kāo
No

Mēnā āe, e hiahiatia ana ētahi atu taipitopito.
If yes, more details are required.

Mō ia whenua, hāunga Aotearoa, me whakarato koe i tētahi Tau ID Tāke (TIN) te mea ōrite rānei i roto i te tūtohi i raro iho. Mēnā kāore e wātea ana te TIN mō taua whenua, whakamahia te take tika A, B, C rānei.

For each country, except New Zealand, you will need to provide a Tax ID Number (TIN) or equivalent in the table below. If a TIN is not available for that country, use the appropriate reason A, B or C.

- Take A

Kāore te whenua e noho ana au hei kainoho tāke e tuku ana i te TIN ki ana kainoho

Reason A

The country where I am a tax resident does not issue a TIN to its residents
- Take B

Kāore i tukuna te TIN ki a au e te whenua e noho ana au hei kainoho tāke

Reason B

I have not been issued a TIN by my country of tax residence
- Take C

Kāore e hiahiatia ana e te ture ā-motu o te whenua e noho ana au hei kainoho tāke te kohinga o te TIN

Reason C

The domestic law of the country where I am a tax resident does not require the collection of a TIN

Te whenua o te Nōhanga Tāke	Te Tau IRD Tāke (TIN)	Whakaurua Take A, B, C rānei ki te kore e wātea ana te TIN	Mēnā kua tipako koe i te Take B whakaratoa tētahi whakamārama
Country of Tax Residency	Tax ID Number (TIN)	Enter Reason A, B, or C if no TIN is available	If you have selected Reason B please provide an explanation

Ngā Taipitopito Tangata Takitahi | Individual Details

Taitara | Title

Ingoa Tuatahi | First Name

Ingoa Whānau | Surname

Rā Whānau | Date of Birth

Hononga ki te Hinonga | Relationship to the Entity

Wāhi Noho | Physical Address

Wāhitau Īmēra | Email Address

Tau Waea | Phone Number

Te (ngā) whenua o te kirirarau/whenua ake, tautuhia i raro iho | Country(ies) of citizenship/nationality, please specify below

Te (ngā) whenua o te Nōhanga Tāke | Country(ies) of Tax Residency

He kainoho tāke nō Aotearoa au
I am a tax resident of New Zealand

☐ Āe
Yes

☐ Kāo
No

He kainoho tāke nō (t)ētahi atu whenua
I am a tax resident of other country(ies)

☐ Āe
Yes

☐ Kāo
No

Mēnā āe, e hiahiatia ana ētahi atu taipitopito.
If yes, more details are required.

Mō ia whenua, hāunga Aotearoa, me whakarato koe i tētahi Tau ID Tāke (TIN) te mea ōrite rānei i roto i te tūtohi i raro iho. Mēnā kāore e wātea ana te TIN mō taua whenua, whakamahia te take tika A, B, C rānei.

For each country, except New Zealand, you will need to provide a Tax ID Number (TIN) or equivalent in the table below. If a TIN is not available for that country, use the appropriate reason A, B or C.

- Take A

Reason A

Kāore te whenua e noho ana au hei kainoho tāke e tuku ana i te TIN ki ana kainoho

The country where I am a tax resident does not issue a TIN to its residents
- Take B

Reason B

Kāore i tukuna te TIN ki a au e te whenua e noho ana au hei kainoho tāke

(tēnā whakaurua mai te whakamārama he aha i kore ai i tukuna ki a koe i roto i te tūtohi i raro iho)

I have not been issued a TIN by my country of tax residence

(please include an explanation as to why a TIN was not issued to you in the table below)
- Take C

Reason C

Kāore e hiahiatia ana e te ture ā-motu o te whenua e noho ana au hei kainoho tāke te kohinga o te TIN

The domestic law of the country where I am a tax resident does not require the collection of a TIN

Te whenua o te Nōhanga Tāke	Te Tau IRD Tāke (TIN)	Whakaurua Take A, B, C rānei ki te kore e wātea ana te TIN	Mēnā kua tipako koe i te Take B whakaratoa tētahi whakamārama
Country of Tax Residency	Tax ID Number (TIN)	Enter Reason A, B, or C if no TIN is available	If you have selected Reason B please provide an explanation

Tangata Whaimana | Authorised Person

Whakaotia tēnei wāhanga mēnā e hiahia ana koe ki te whakamana i tētahi tangata (ehara i tētahi o ngā tāngata takitahi i whakaingoatia i runga ake) hei tohutohu me te whiwhi mōhiohio māu.

Complete this section if you want to authorise a person (who is not one of the individuals named above) to give instructions and obtain account information on your behalf.

Taitara | TitleIngoa Tuatahi | First NameIngoa Whānau | Surname

Rā Whānau | Date of BirthHononga ki te Hinonga | Relationship to the Entity

Wāhi Noho | Physical Address

Wāhitau Īmēra | Email AddressTau Waea | Phone Number

Te (ngā) whenua o te kirirarau/whenua ake, tautuhia i raro iho | Country(ies) of citizenship/nationality, please specify below

Te (ngā) whenua o te Nōhanga Tāke | Country(ies) of Tax Residency

He kainoho tāke nō Aotearoa auI am a tax resident of New ZealandYesNo

He kainoho tāke nō (t)ētahi atu whenuaI am a tax resident of other country(ies)YesNoMēnā āe, e hiahiatia ana ētahi atu taipitopito.If yes, more details are required.

Mō ia whenua, hāunga Aotearoa, me whakarato koe i tētahi Tau ID Tāke (TIN) te mea ōrite rānei i roto i te tūtohi i raro iho. Mēnā kāore e wātea ana te TIN mō taua whenua, whakamahia te take tika A, B, C rānei.

For each country, except New Zealand, you will need to provide a Tax ID Number (TIN) or equivalent in the table below. If a TIN is not available for that country, use the appropriate reason A, B or C.

Take AReason A: Kāore te whenua e noho ana au hei kainoho tāke e tuku ana i te TIN ki ana kainoho. The country where I am a tax resident does not issue a TIN to its residents.

Take BReason B: Kāore i tukuna te TIN ki a au e te whenua e noho ana au hei kainoho tāke (tēnā whakaurua mai te whakamārama he aha i kore ai i tukuna ki a koe i roto i te tūtohi i raro iho) I have not been issued a TIN by my country of tax residence (please include an explanation as to why a TIN was not issued to you in the table below)

Take CReason C: Kāore e hiahiatia ana e te ture ā-motu o te whenua e noho ana au hei kainoho tāke te kohinga o te TIN. The domestic law of the country where I am a tax resident does not require the collection of a TIN

Te whenua o te Nōhanga Tāke	Te Tau IRD Tāke (TIN)	Whakaurua Take A, B, C rānei ki te kore e wātea ana te TIN	Mēnā kua tipako koe i te Take B whakaratoa tētahi whakamārama
Country of Tax Residency	Tax ID Number (TIN)	Enter Reason A, B, or C if no TIN is available	If you have selected Reason B please provide an explanation

Ngā taipitopito haumi | Investment details

E hiahia ana au ki te haumi i roto i te Tahua e whai ake nei:
I wish to invest in the following Fund:

	Rahinga Haumi* Investment Amount*	
TAHITO Te Tai o Rehua Fund	\$	☐ Moni Taurewa Tōtika Direct Credit
TŌPŪTANGA TOTAL	\$	

* Ko te haumi tuatahi mōkito ko te \$1,000. Ko te Haumi Whaimuri mōkito ko te \$500.
* Initial investment minimum is \$1,000. Subsequent Investment minimum is \$500.

Utunga | Payment

☐	Moni Taurewa Tōtika (Tēnā penapena i ngā utu moni taurewa tōtika ki te pūkete BNZ e whai ake nei: 02-0108-0567359-00 i roto i te ingoa o The New Zealand Guardian Trust Company Limited ASF Clarity Funds me te tohutoro me tō tau Haumi)
☐ Direct credit	(Please deposit direct credit payments in the following BNZ account: 02-0108-0567359-00 in the name of The New Zealand Guardian Trust Company Limited ASF Clarity Funds and reference with your Investor number)

Pūkete Pēke | Bank Account

Ka whakamahia tēnei pūkete pēke hei whakahokinga.
This bank account will be used for redemptions.

Ingoa Pūkete Account Name	Pēke Bank
(Me whai te pūkete pēke i te ingoa o te Kaihaumi / Hinonga rānei) (The bank account must be in the Investor's / Entity name)	
Peka Branch	Tau Pūkete Account Number
	PEKE BANK PEKA BRANCH PŪKETE ACCOUNT PĪMURI SUFFIX

Te Ture Matatapu

Ka hāngai tēnei tauākī ki ngā mōhiohio matawhaiaro e homai ana koe ki te Kaiwhakahaere mā tō tono me ētahi mōhiohio matawhaiaro ka homai koe ā muri ake.

Ka whakamahia hoki ngā mōhiohio matawhaiaro kua homai koe e te Kaiwhakahaere me te Kaitirotiro (me ngā hinonga tūhono e kōrerotia ana i roto i te Wāhanga 7) hei whakamana i te Kaiwhakarite ki te whakarite me te whakahaere i tō haumi, me te whakapā atu ki a koe e pā ana ki tō haumi. Ka whakarato te kaiwhakahaere ki a koe (ina tono koe) ki te ingoa me te wāhitau o te hinonga i whākina ai ngā mōhiohio. Ka tuari hoki pea te kaiwhakahaere i ō mōhiohio ki ngā tari kāwanatanga mēnā ka tonoa. He motika tōu kia āhei ki ngā mōhiohio katoa e whiwhia ana mōu e te Kaiwhakahaere, ā, mēnā e hē ana ētahi o ngā mōhiohio, te motika kia whakatikatikahia.

E tūtohu ana koe e whaimana ana koe ki te homai i ngā mōhiohio matawhaiaro mō te kaitono, ā, e whakaratoa ana te taunakitanga o tēnei mana (mēnā ko tētahi matua/ kaitiaki/tētahi atu e whakarato ana i ngā mōhiohio mō te kaitono).

E whakaae ana koe ka āhei a Clarity Funds Management Limited te whakamahi i tō ingoa /ia ingoa me te wāhitau hei whakarato i a koe ki ngā kawerongo me ētahi atu mōhiohio mō te (ngā) Tahua me ētahi atu otinga, ratonga rānei.

Tauākī

Kua pānui au/mātou me te pupuri i te tārua o te Tauākī Whāki Otinga me te rā 21 Hōngongoi 2023, ā, e whakaae ana kia herea e ngā tikanga me ngā herenga o te Titi Tiakitanga me ngā Titi Whakatū Hāngai (i runga anō i te menemanatanga i ia wā).

Ka whakaae au/mātou ki ngā tikanga i whakahuatia i runga ake e pā ana ki te Ture Matatapu me te whakaratonga o ngā mōhiohio matawhaiaro.

E mārama ana au/mātou he waka a TAHITO Te Tai o Rehua Fund mō te haumi wā roa; ka mānenei pea taku/tā mātou haumi, ā, ka piki, ka heke i ētahi wā.

E mārama ana au/mātou ki te āhua e tangohia ai ngā utu i taku/tā mātou haumi.

E tūtohu ana au/mātou me whāki pea a Clarity i ngā mōhiohio mōku/mō mātou me aku/ā mātou haumi ki ngā rōpū kē, mēnā he herenga ā-ture ki te whāki, tae atu ki ngā ture o ētahi atu takiwā ture, hei tauira ki ngā mana kāwanatanga, kaiwhakarite rānei.

E whakaae ana au/mātou ki te paremata i a Clarity mō tētahi ngarohanga moni ka pā mai hei hua nō taku/tā mātou whakarato i ngā mōhiohio hē, hukihihi rānei.

E whakaae ana au/mātou kāore e noho haepapa ai a Clarity ki a au/mātou, tētahi atu rōpū kē rānei mō te whakahē ki te hātepe, te takaroa rānei ki te hātepe i tētahi kurutete māku/mā mātou, i te whakatārewahia o taku/tā mātou pūkete e Clarity i raro i ngā ture whakahē horoi pūtea me te ārai i te tuku pūtea ki ngā kaiwhakatuma.

E whakaae ana au/mātou ki te whakamōhio wawe atu ki Clarity Funds Management mēnā ka rerekē taku/tā mātou nōhanga tāke/ taku/tā mātou Pāpātanga Haumi Tūtohu rānei.

E whakaae ana au/mātou kia whakamōhio wawe ki a Clarity mēnā e noho ana tētahi tangata e tata ana ki a au/mātou, tētahi mema o te whānau rānei i te tūnga tūmatanui hira, kāore i mau i mua, i tētahi whenua i tāwāhi.

Mō te kaupapa whakatūturu i taku tuakiri, e whakaae ana au kia whakamahia ngā mōhiohio matawhaiaro kua whakarato au (ā, mēnā e hiahia ana, te whāki) ki ngā rōpū e whai ake nei:(1) te NZTA hei tiroiro i te pātengi raraunga o MOTO; (2) te Tari Taiwhenua hei tiroiro i te pātengi raraunga uruuruwhenua; (3) Land Information New Zealand; (4) Te Tari Kamupene; (5) Centrix Group Limited me APLY Limited (ā, e whakamana ana au i a Centrix me APLY ki te whakamahi i ētahi mōhiohio e whai ana rātou i roto i tō rātou tari pūrongorongo moni taurewa mōku hei whakataurite ki ngā mōhiohio kua whakarato au; me (6) the White Pages.

Waitohu o te Kaiwhakahaere, Hoa pakihi, Āpiha Kaiwaitohu whaimana rānei

Te Rā

Waitohu o te Kaiwhakahaere, Hoa pakihi, Āpiha Kaiwaitohu whaimana rānei

Te Rā

Waitohu o te Kaiwhakahaere, Hoa pakihi, Āpiha Kaiwaitohu whaimana rānei

Te Rā

Waitohu o te Kaiwhakahaere, Hoa pakihi, Āpiha Kaiwaitohu whaimana rānei

Te Rā

The Privacy Act

This statement relates to the personal information that you are providing to the Manager by way of the application and any subsequent personal information which you may provide in the future.

The personal information you have supplied may be used by the Manager and the Supervisor (and related entities detailed in Section 7) for the purposes of enabling the Manager to arrange and manage your investment, and to contact you in relation to your investment. The manager will provide you (on request) with the name and address of any entity to which information has been disclosed. The manager may also share your information with government agencies if requested. You have the right to access all personal information held about you by the Manager and if any of the information is incorrect, you have the right to have it corrected.

You acknowledge that you are authorised to provide personal information on behalf of the applicant and evidence of this authority is provided (in the case of a parent/guardian/other providing information about the applicant).

You agree that your/each of your names and addresses may be used by Clarity Funds Management Limited to provide you with newsletters and other information about the Fund(s) and other products and services.

Declaration

I/We have read and retained a copy of the **current** Product Disclosure Statement and agree to be bound by the terms and con-ditions of the Trust Deed and relevant Establishment Deeds (as amended from time to time).

I/We agree to the terms outlined above in relation to the Privacy Act and the supply of personal information.

I/We understand that the TAHITO Te Tai o Rehua Fund is a vehicle for long-term investment; the value of my/our investment is liable to fluctuations and may rise and fall from time to time.

I/We understand the manner in which the fees will be deducted from my/our investment.

I/we acknowledge that Clarity may be required to disclose information about me/us and my/our investments to third parties, if disclosure is required by law, including laws of other jurisdictions, for example to government and regulatory authorities.

I/We agree to indemnify Clarity against any loss it may suffer as a result of me/us providing incorrect or incomplete information.

I/We agree that Clarity will not be liable to me/us or any other third party for any refusal to process, or delay in, processing any transaction on my/our behalf or a suspension of my/our account by Clarity in accordance with the anti-money laundering and countering financing of terrorism laws.

I/We agree to advise Clarity Funds Management immediately if my/our tax residency changes, or my/our Prescribed Investor Rate changes.

I/We agree to advise Clarity immediately if any individual associated with myself/us or any immediate family member holds a prominent public position, not previously held, in any overseas country.

For the purpose of verifying my identity, I consent to the personal information that I have provided being used with (and, where necessary, disclosed to) the following sources: (1) the NZTA for the purpose of checking the MOTO database; (2) the Department of Internal Affairs for the purpose of checking the Passport database; (3) Land Information New Zealand; (4) the Companies Office; (5) Centrix Group Limited and APLY Limited (and I authorise Centrix and APLY to use any information that they hold in their credit reporting bureau about me to compare the information that I have provided); and (6) the White Pages.

Signature of Director, Partner, Officer or Authorised Signatory

Date

Signature of Director, Partner, Officer or Authorised Signatory

Date

Signature of Director, Partner, Officer or Authorised Signatory

Date

Signature of Director, Partner, Officer or Authorised Signatory

Date

Tohutohu waitohu | Signing Instruction

E whakatakoto ana te tohutohu waitohu me pēhea e whakahaeretia ai tēnei pūkete e ngā kaiwaitohu e pā ana ki ngā kurutete o ia rā me ngā tohutohu whānui. Tēnā, kōwhiria tētahi o ngā kōwhiringa e whai ake nei:

The signing instruction sets out how signatories can operate this account with respect to daily transactions and general instructions. Please select one of the following options:

- ☐ Me waitohu ngātahi ngā kaiwaitohu katoa
All signatories must sign together
- ☐ Ka taea e te kaiwaitohu kotahi te waitohu
Any one signatory can sign
- ☐ Kaua e iti iho i ngā kaiwaitohu ____ e waitohu ngātahi ai
At least ____ signatories must sign together
- ☐ Tētahi atu (tēnā tuhia mai ngā taipitopito)
Other (please provide details)

Kia mōhio mai mēnā he panoni ki te pūkete pēke tapa, ka katia rānei te huinga haumitanga, ka ara mai he herenga kia waitohu ngā kaiwaitohu katoa.
Please note that changes to the nominated bank account or portfolio closure will require all signatories to sign.

Ka taea te panoni i te tohutohu waitohu i ngā wā katoa mā te tuku ki te Kaiwhakahaere he pānui kua tuhia, ā, kua waitohua e ngā kaiwaitohu katoa.
The signing instruction can be changed at any time by providing the Manager with notice in writing which is signed by all signatories.

Tauākī Kaitohutohu Ahumoni (mēnā e hāngai ana) | Financial Adviser’s Declaration (if applicable)

☐ Kua tūtaki au ki te/ngā tāngata takitahi, ā, kua kohikohi i te tārua o t/ana uruwhenua Aotearoa onāianeī, raihana taraiwa o Aotearoa rānei, ā, ka whakatūturu au e pono ana te āhua o te/ngā tāngata kua whakaingoatia.
I have met with the above individual(s) and have collected a copy of their current NZ passport or NZ driver’s licence and confirm it represents the true likeness of named individual(s).

Ingoa o te Kaitohutohu Ahumoni
Financial Adviser’s Name

Tau Umanga o te Kaitohutohu Ahumoni
Financial Adviser’s Agency Number

Waitohu o te Kaitohutohu Ahumoni
Financial Adviser’s Signature

Te Rā
Date